# Special Commission of Inquiry <br> into Healthcare Funding 

Before: The Commissioner, Mr Richard Beasley SC

## At Level 2, 121 Macquarie Street, Sydney, New South Wales

Thursday, 13 June 2024 at 10.00am
(Day 034)
Mr Ed Muston SC (Senior Counsel Assisting)
Mr Ross Glover
(Counse1 Assisting)
Dr Tamsin Waterhouse (Counse1 Assisting)
Mr Ian Fraser
(Counsel Assisting)
Mr Daniel Fuller
(Counsel Assisting)

Also present:
Mr Hilbert Chiu for NSW Health
Mr Oliver Jones for St Vincent's Health Australia

THE COMMISSIONER: Good morning.
MR MUSTON: This morning's witness, Commissioner, is Anna Mary McFadgen.
<ANNA MARY McFADGEN, sworn:
<EXAMINATION BY MR MUSTON:
MR MUSTON: Q. Could you state your full name for the record, please?
A. Anna Mary McFadgen.
Q. And you're the chief executive officer of St Vincent's Hospital Sydney?
A. Yes, I am.
Q. A role that you held, I think, since August 2023?
A. Yes.
Q. You prepared a statement dated 4 June 2024 to assist the Commission with its work?
A. Yes, I have.
Q. Have you had a opportunity to read that statement before giving your evidence today?
A. I have.
Q. And you're satisfied that the contents of it are, to the best of your knowledge, true and correct?
A. Yes.

MR MUSTON: That statement will be tendered in due course.
THE COMMISSIONER: Sure.
MR MUSTON: Q. Do you have a copy of your statement with you?
A. I do.
Q. Could I ask you to go to paragraph 10 of that statement. You tell us there that St Vincent's Sydney, as a wholly owned subsidiary of St Vincent's Health Australia, operates two different facilities - that's the hospital at Darlinghurst and the Sacred Heart Health Service.
A. Yes.
Q. Up until relatively recently, it also operated St Joseph's, at Auburn?
A. Yes.
Q. Just so we can understand from a structural point of view how the funding of those various entities operated, would we be right in assuming that a single service agreement between the ministry and St Vincent's Sydney is entered into?
A. Yes.
Q. That single agreement provides for or contemplates the purchase by the ministry of a particular amount of activity --
A. Yes.
Q. -- from St Vincent's?
A. Yes.
Q. And that activity is then distributed by St Vincent's across each of the formerly three facilities that it operated, now two?
A. That's right, yes.
Q. Just in relation to St Joseph's, if we can touch on that fairly briefly, at the time that St Vincent's elected not to continue operating St Joseph's, there was some discussion about the way in which the services formerly offered at St Joseph's might be reallocated to St Vincent's Darlinghurst?
A. Yes.
Q. As I recall the documentation, there was also some discussion, at least from the ministry's perspective, about the possibility that some of those services might instead be offered through Western Sydney LHD or facilities operated by Western Sydney LHD?
A. Yes.
Q. Do you, at least at a high level, before we dive into some of the detail, have a recollection of whether the closure of St Joseph's had an impact on or perhaps, put differently, resulted in a reduction in the activity purchased from St Vincent's within its annual service level agreement?
A. Yes. So the key element around the activity transfer was that the vast majority of patients that St Joseph's
looked after were from the Western Sydney catchment, and so the decision was taken that the most appropriate course of action was to transfer that activity that was previously delivered at St Joseph's to Western Sydney LHD and various facilities within Western Sydney LHD.

As a result of that, there were changes to our service level agreement in terms of the amount of activity and funding that then flowed to St Vincent's, because that activity and the responsibility for service delivery, was transferred to Western Sydney LHD.
Q. And that process, was that a collaborative arrangement where decisions were made by both St Vincent's and the ministry, as to where the service would best be delivered in a geographic sense?
A. Yes, and I think we had a steering committee that we set up with the ministry and Western Sydney LHD and we met weekly on a weekly basis to work through the transition process, and ultimately, you know, the view of St Vincent's was that we needed to do the right thing for the community and for our patients and it was clear to us that the vast majority, as I said, of patients who came to St Joseph's, and indeed staff who worked at St Joseph's, lived and worked in the Western Sydney community, so it was appropriate for those services to remain in that community.
Q. In paragraph 70 and following of your statement, you have described in those paragraphs the nature of the services that were being provided at St Joseph's. In terms of the services that are offered at St Vincent's Darlinghurst, there's some highly specialist services that you've told us about in paragraph 13, and then in paragraph 19, you develop your description of those, or some of those highly specialist services, but also allude to the proportion of the inpatient activity which is delivered through St Vincent's. Could you just give us a broad description, beyond the very special services that are offered through St Vincent's, of the business-as-usual day-to-day hospital services provided through the facility in Darlinghurst?
A. Yes, of course. So we are a tertiary referral hospital located in Darlinghurst, as you say, and we have the St Vincent's Hospital and also Sacred Heart Health Service, which is a provider of palliative care and rehabilitation services. We see, just to give you a sense of kind of size and scale, about 50,000 emergency
presentations a year. We have about 400,000 outpatient occasions of service a year and 40,000 admitted inpatient occasions of service a year, so that gives you a bit of a sense. We have about 350 beds in total that we operate on any given day and we're highly integrated into the NSW Health delivery system, both from an emergency and a planned care perspective.
Q. Do you have paragraph 19 open in front of you?
A. I do.
Q. Does that broadly cover off what is contemplated in the description you've given of the emergency and inpatient activity in (a)?
A. Yes, it does. So it covers, as I said, the kind of broad breadth and scale of services that we provide but also articulates the specialist and statewide services that we provide, not only to our local community but across New South Wales.
Q. Can I just come to some of those specialist services, and this may be my misunderstanding of what you've said in the statement, so don't interpret this as me saying that there is an inconsistency or a problem necessarily, but if you look at (b) (i), you tell us that St Vincent's is the sole provider of heart and lung transplantation services. Can I just ask, when we roll over to paragraph (c), you refer to one of three hospitals in New South Wales who perform adult heart and lung transplantation services?
A. Yes.
Q. The other two --
A. That is in fact an error. Please excuse me. So we are the sole provider of heart-lung transplantation for New South Wales and we are one of three hospitals responsible for organ retrieval in New South Wales.
Q. So just again for the laypeople tasked with responsibility for making decisions about health, what is the important difference between the transplantation services on the one hand and the organ retrieval services on the other?
A. So organ - so heart transplantation is obviously a very specialist, low volume, high complexity service, and where those services - the nature of those services mean that there's a very, very clear relationship between volume and outcome. So in order to get the best patient outcomes
you can for those very high complexity services, it's important to have the expertise, the infrastructure, research, for example, concentrated to a single provider because the volumes are so low. So that's why we're the only designated provider of heart-lung transplantation in New South Wales.

Organ retrieval, obviously, has a slightly broader role in terms of servicing not only heart transplants but other organ transplantation, so there will be several providers, or people - organisations responsible for organ retrieval across the state.
Q. And that's organs including, say, livers and kidneys and various other --
A. That's my understanding, yes.
Q. -- things that are transplanted?
A. Yes.
Q. I think we may have seen some of the evidence that liver transplants are performed at RPA?
A. They're not performed at St Vincent's, and I'm not actually a hundred per cent sure if it's just RPA for livers, yes.
Q. To the extent that your retrieval service my retrieve a liver from a patient, it would, through some arrangement with the ministry or that other - whichever facility it is, arrange for that organ to be delivered promptly to where it needs to go?
A. Correct. Correct. And obviously organ retrieval teams are also specialist teams who have particular expertise in organ retrieval.
Q. Could we track down to subparagraph (e) where you tell us about cardiology, neurology, mental health, alcohol and drug and a range of other services provided in rural and regional areas through outreach. Can I ask, how are those - what's the genesis of the networks through which those services are provided, as you understand it?
A. So we have a particular - we have a broad statewide role, and as you've articulated, a number of statewide services. About half of the patients that come to St Vincent's Sydney come from beyond our local catchment, and that's part of what differentiates us as a networked AHO compared to some of our peers.

For some services, particularly haematology, neurology and cardiac, where we have particular specialist expertise at St Vincent's, we have specific what we call "networked care arrangements" with other particularly rural and regional LHDs. One example of that is Murrumbidgee LHD and we've had a longstanding relationship with Murrumbidgee LHD for the provision of some of those services in a very formalised arrangement where we have a memorandum of understanding and we have formal referral pathways for provision of those services, where, for example, we will send our medical, nursing and other clinical experts out to the area and we will also make sure that we have appropriate pathways in place for transfer of patients into St Vincent's Sydney, where they need that level of expert care.
Q. Just seizing on that as an example, the arrangement with Murrumbidgee, are you aware of what it was that actually led to that arrangement coming about? I mean, in asking that question, I acknowledge there's a range of possibilities. It may be two people who had been at university together in the 1980s or '70s might have had a good idea that there was a gap that needed to be filled in the Murrumbidgee area and from that this program has sprung, or alternatively there might be a more formal structure which has led to this sort of network. Do you know which it is?
A. I'm actually not aware of the genesis, no, only that the arrangement has been in place for some time now.
Q. At least as matters currently stand, is there any particular process that you're aware of or planning process, that you've been involved in, at least, in which consideration is given to how services which might potentially be provided through clinicians employed by St Vincent's Sydney could be well deployed in other areas across the state?
A. Yes, so we - there's a number of avenues through which we do that. So, for example, in 2021, we undertook a joint service planning process with Ministry of Health. That's a 10 -year clinical services plan. So that outlines the level and mix of services that St Vincent's Health Network Sydney provides across the state, not only to our local community, the role of St Vincent's within the system.

It also projects over that 10 -year horizon the type
and the level and mix of services that we will provide over that time period, and that's driven - the modelling that underpins that is driven by factors like population growth, need, new technologies, novel therapies, and also historical activity projections. So that's a really collaborative process.
Q. Who at the ministry was involved in that process?
A. So that's the system planning, system service planning branch in the ministry.
Q. And were LHDs that might potentially be the recipients of those services involved in that planning process?
A. Yes, absolutely. So --
Q. In what way?
A. So we undertake - so that process was undertaken in consultation with neighbouring LHDs as well, and other LHDs like Murrumbidgee LHD, where we have particular networked arrangements.

We also have some more localised planning structures in place, particularly with neighbouring LHDs, so, for example, South Eastern Sydney LHD and Sydney LHD where we have formalised joint planning committees. One example is that we have a joint executive planning committee with South Eastern Sydney LHD and our local primary health network, Central and Eastern Sydney Primary Health Network, where we meet regularly throughout the year and we undertake joint needs assessments and other kinds of analyses to inform how we provide services to our collective catchment, if you will.
Q. Acknowledging it's a little bit before your time as the CE, but the documents suggests that there was some discussion between St Vincent's and Western Sydney directly about the way in which St Joseph's might fit within the patchwork of health services provided in that area. Are you aware of what those discussions involved?
A. I'm afraid I'm not because that was before my time, yes.
Q. We touched on this a moment ago, but St Vincent's Darlinghurst, you acknowledge, sits broadly within the wider catchment which is administered by the South Eastern Sydney LHD, and is surrounded by a range of hospital and health services delivered by that LHD.
A. Yes.
Q. In relative terms, or at least geographic terms, a number of the hospitals administered by South Eastern Sydney LHD and probably Sydney LHD are quite close to St Vincent's?
A. Yes.
Q. Other than the joint executive discussion that you've - or arrangements that you've told us about, is there any other formal planning process that you are involved in, or that anyone at St Vincent's is involved in, where careful consideration is given to exactly how the service mix delivered by hospitals across all of those LHDs, including St Vincent's, might look in the next five to 10 years, so as to ensure that they are complementary and best meeting the needs of the community that at least geographically they serve?
A. To some extent. So one other example is that our local head of strategy and planning at St Vincent's is part of the statewide - there's a statewide planning network that's administered and coordinated by the Ministry of Health, and that network gets oversight of planning data that might impact on the way the LHDs undertake their planning, inform those exercises, and they will also discuss matters that pertain to all the LHDs in relation to planning. The extent to which that then translates into a coordinated plan is something I'm not aware of.
Q. So insofar as you're aware, for example, there's no process by which, say, discussion occurs between the ministry, the CE of South Eastern Sydney or potentially the CE of Sydney or someone working within them and someone from St Vincent's around which of those organisations should be offering a particular procedure or, say, cardiac surgery, for example, it might not be efficient to offer it at all of them?
A. Yes, that happens on a basis that is coordinated directly by the LHDs and by us. For example, it's not centrally coordinated, to be clear. So I have a regular meeting with the South Eastern Sydney LHD where we do talk about our operational context, challenges, issues, planning, particularly planning opportunities, but that's coordinated between ourselves and the LHD in particular.
Q. I think prior to your commencing in your current role, you had a depth of experience in the Victorian health
system?
A. Yes.
Q. From a planning perspective, your experience in Victoria, was it done differently?
A. Not particularly, no, actually. In fact, the Victorian system is a more devolved system than the New South Wales system, generally speaking. I actually think there's better coordination, particularly from a planning perspective, in the New South Wales context than in the Victorian context.
Q. What about the New South Wales structure do you think are its particular strengths when compared, say, with your experience in Victoria?
A. Particularly that coordination, cohesion and collaboration. So New South Wales is a more centralised system than the Victorian system, and that brings with it a level, as I said, of collaboration, cohesion and coordination that's not evident to the same extent in the Victorian system. And I think that's a great strength of the New South Wales system and I think you saw - we saw that brought to light, really, in the COVID response times, where the coordination amongst the New South Wales system my observation is that it was a more coordinated response than the Victorian response. So there are strengths and weaknesses of both approaches.
Q. I infer from that answer that you see that there's value in taking a system-wide, or at least a larger than a facility view, or wider than a facility view of the services being offered through facilities across the LHDs and at St Vincent's within that wider Sydney catchment? A. Yes, absolutely, and I think in the context of an environment where, you know, there's a scarcity of resources in health care, having that additional level of coordination and particularly around service provision, planning, I think is essential.
Q. And when you talk about "service provision planning", presumably you are referring to a forward-looking plan that extends beyond the current 12 -month budgetary cycle?
A. Very much so, yes.
Q. The advantage of that would be that it enables all of the particular deliverers of services to a geographic community to identify areas of overlap?
A. Yes.
Q. Unnecessary overlap?
A. Yes, and areas particularly for collaboration and for scaling of novel ideas, new models of care, and making sure that those ideas that might be seeded or piloted, if you wil1, in a particular area, can be scaled across the system for broader benefit.
Q. It also enables a slightly more system-wide view to be taken which has the benefit of identifying any gaps or any cracks between the services offered by the various administrators of health services across that geographic area?
A. Yes.
Q. Do you think there's anything that could be done to improve or create perhaps a more formal structure around that coordination and planning insofar as you're involved in it?
A. Yes, I do, and I do think that would be of value for the reasons that you've articulated, and we've spoken about, and I think particularly, as you say, that longer-term perspective, it is important for us to respond to what is a very rapidly evolving environment and dynamic in health care. And so whilst it's important to have whilst it would be ideal to have a very long-term view from a planning perspective, and there are some elements of the planning context that lend themselves to that long-term view, I also think it's important that there's a relatively agile and flexible - there's a level of agility and flexibility within that to respond to things that might, you know, arise - for example, a pandemic - within that environment.
Q. And perhaps putting that more bluntly than you have, the idea that you might have a 10-year planning horizon which results in a plan is not something that should then be revisited in 10 years' time, it's something that should be revisited next year?
A. Correct.
Q. And the year after?
A. Yes.
Q. And the year after?
A. Yes.

THE COMMISSIONER: Q. The rapidly evolving environment for health care, what do you see as the big issues or challenges that make it a rapidly evolving environment? A. Look, I think, you know, the dynamic in public health care, be it New South Wales or any kind of other jurisdiction, is that it's characterised by increasing scarcity of resources on the supply side, for want of a better term, and a very rapidly and escalating need on the demand side, and so that creates a fundamental sort of misalignment, which is a very, very complex problem to solve.
Q. In simplistic terms, does that mean funding plateauing but demand increasing?
A. Yes. Yes. And so the challenge for us, and particularly there are - the two particular challenges I think we face, and there are several others that have been, you know, well documented, are increasing scarcity of workforce, so workforce is probably the biggest challenge, I think, facing the system at the moment.
Q. Even in metropolitan?
A. Absolutely. Absolutely. And a very rapidly increasing demand for services, as the population ages and as there are more complex - people with complex comorbidities and the confluence of those factors has really led us to the point now where we need to make some very substantial system changes in order to be able to continue to provide the level of care and services that the community expect from us.
Q. Just on what you've said there in terms of workforce challenges, it's clinicians across the board - it's nurses, doctors, specialists?
A. Almost across the board. There would be very few exceptions, in my experience, where we're not facing significant workforce constraints.
Q. And the demand issues - we're regularly told this - by that, I take it you mean we live a long time but we're living a long time - many people, too many, are living a long time with one or more chronic diseases, many of which mean they have to be hospitalised, and all of that requires a great deal of (a) services, which (b) means a lot of money?
A. Yes.

MR MUSTON: Q. In terms of the existing planning of clinical services, is St Vincent's involved, as an entity, in the same sort of clinical planning or clinical services planning process as applies to other LHDs or other facilities within other LHDs?
A. Yes, it's my experience that we're involved in all of the same forums and committees and structures and processes for planning as the other LHDs.
Q. One view of the current planning arrangements or the policies that result in particular planning episodes occurring might be that they are very facility-centric that is to say, the clinical services plans, which facilities are required to produce as part of their planning cycle, are very facility-centric. Would you agree with that view?
A. I think the context for St Vincent's Health Network Sydney is a little bit different to the other LHDs. So obviously I'm less familiar with the other LHD planning environment but it's my understanding that because they're a local health district and therefore expressly responsible for the health and wellbeing of a particular population, of their catchment population, that they would undertake a planning process that's designed to plan for the needs of that population as a catchment.

At St Vincent's Sydney, we don't have a catchment as such, save for some subsets of the services we provide, mental health being one of them, so the planning process that we undertake is, by its nature, much more broad-ranging, I think, than potentially what an LHD is planning for, purely because we're not a local health district in the sense of looking after a particular population.
Q. So when you refer to what you apprehend that local health districts are doing, do we infer from that that you think a well-administered system would require an LHD to make an assessment as part of its regular planning of the wider health needs of its population?
A. I would have thought so, yes, but as I said, I'm not familiar with the way the LHDs undertake their planning processes.
Q. Your experience in health administration suggests to you that that would be a good idea?
A. Yes.
Q. And when we refer there to the wider health needs of the population, we're not just talking about the needs for particular procedures in particular facilities?
A. No, and, in fact, I think that's one of the opportunities going to our point around how we can start to solve some of the wicked problems that we have in health care, public health care, that we need to take a system-wide focus when we undertake planning with very much a focus on, for example, prevention, diversion strategies and other strategies that aim to keep people, as far as that's clinically appropriate, outside of a hospital setting, and cared for in the most appropriate setting for them.

So I think if we take a system view that encompasses almost the entire spectrum of the healthcare system in terms of the different elements of care provision, primary care, prevention, tertiary acute care and other hospital care, and indeed aged care and other settings, that we would get a better lens around how - what the opportunities are for system reform and where the scarce resources are best allocated.
Q. So the logical starting point for any planning process should be an identification of what those wider needs are across all of those various - the full spectrum of health services that you've just outlined, including, say, primary care?
A. Mmm-hmm, yes.
Q. Next step would be to --

THE COMMISSIONER: Q. With primary care being really important?
A. Primary care is critical, obviously. And I think that's a significant opportunity for us, to take that more of a system perspective, as I said, in terms of that planning piece, and how primary care interfaces with hospital-based care and other types of care.

MR MUSTON: Q. Next step, look at the way in which all of those needs are currently being met or capable of being met by deliverers of health services within the broader catchment?
A. Yes.
Q. Including, for example, health services delivered through St Vincent's where you're not part of an LHD? A. Yes.
Q. An important part of that step, or the step of identifying where or how those health needs might be met, is to collaborate and talk about it with the potential providers of those health services?
A. Yes, yes.
Q. With a view, as we've already canvassed, to ensuring that there's no overlaps, no gaps?
A. Yes.
Q. And things that are capable of being delivered by any one of them are - the person or entity that ends up delivering is that which is best placed both in terms of efficiencies and in terms of quality of service to deliver them?
A. Correct, and expertise and that we're optimising and leveraging the strengths of the different elements and providers within the system to provide the best care we can for the community.
Q. And after one has identified that patchwork of existing services and worked out how best to lay them on the ground to meet the anticipated needs of the community, if gaps exist, then, real thinking and money needs to be deployed towards working out how best to quickly fill those gaps?
A. Yes.
Q. As a general, albeit very high level, approach to the planning of health services, does that general timeline make sense?
A. I think it does, yes.
Q. One very, very important thing that has potentially been excluded is the need to actually communicate properly and in a collaborative way with the community that's being served about what it perceives its health needs to be? A. Yes.
Q. Possible that its perception about its health needs will diverge from what the data is telling the health administrators?
A. Potentially, although I think, in my experience as a hospital administrator, generally speaking, the level of understanding, knowledge and health literacy in the population is increasing, for various factors, and so what we're finding, too, is that the population have a very clear - or certainly clearer - expectation of their health care providers and of the system, and they're, generally speaking, very well informed in terms of how they can access care and what sort of care they expect to be delivered.
Q. To the extent that there's a divergence between perceptions about health needs and what the data tells health administrators the health needs of the population are, it doesn't necessarily mean that the data is wrong? A. No, it doesn't mean that the data is wrong.
Q. It's possible, sometimes, that there's a gap between the data and the on-the-ground lived experience, which means whatever people are - a community is telling planners about what they perceive their health needs to be and what their priorities are has to be taken seriously?
A. Yes, and I think the other challenge is that most of the planning data to inform the way we plan and deliver our services is, by its nature, historical data, so it represents historical patterns.

It's very difficult, as we've discovered, certainly recently, to predict the future of health care in terms of either the type of service delivery, innovations that might completely disrupt the way we deliver services, either from a technological perspective or models of care, and so that comes to my point around maintaining a level of agility and flexibility in the system to be able to pivot to respond to those changing needs, which can often happen quite quickly.

THE COMMISSIONER: Q. You may have - and please tell me if you have much broader experience than this, but the opinion you expressed about the health literacy of the population, you think it's increasing, is that in part informed by the catchment that St Vincent's might have?
A. It very possibly is, yes. It's my experience.

Although, in my experience as working in other jurisdictions and across other health services, again, it's certainly a very general comment but there's certainly abetter awareness, I think, and understanding and expectation from the community.
Q. It may be something you have discussed with colleagues, too -- -
A. Yes.
Q. -- that have catchments different to the eastern suburbs?
A. Certainly, yes.
Q. And metropolitan area of Sydney?
A. Yes, yes.

MR MUSTON: Q. Certain population groups, including some of the marginalised groups that St Vincent's delivers health care to, for example, homeless people, First Nations people, are often not well captured by some of the data?
A. That's right, yes.
Q. In the case of those populations, do you perceive there to be a greater need to ground-check what the data is telling you about the particular health needs before forging ahead and planning and delivering services in an expectation that that data is right?
A. Yes, absolutely, and actually, one of the core focuses, as you mentioned, for St Vincent's is that we have a particular focus in caring for communities that we consider to be the most vulnerable and marginalised within our society, and that even goes to capturing data and information about those particular groups.

So in terms of a health equity research program, for example, that's something that we do at St Vincent's to try and improve the level of information that we have about those marginalised communities so that we can make more informed decisions and that we can better deliver and plan our services to meet the needs of those communities.
Q. Sometimes a divergence between a community's perception about its health needs and what the data is telling us that the health needs are comes down to a lack of appreciation of the data or those real health needs by the community - sometimes?
A. Sometimes.
Q. Collaboration and proper communication, both -bi-directional communication with those communities as part of a health planning process can also have the benefit of
assisting them to understand what the data is telling health administrators so as they can better get a sense of at least what the data is suggesting relative to what they might perceive the health needs of their community to be?
A. Yes, absolutely, and it is a fundamental component of any planning process, is consultation with the communities for whom you are planning the service delivery. And we have a number of, you know, structures and processes in place at St Vincent's, and I'm certainly aware that those processes exist across the system, to engage with our communities and to understand the needs of the community and to plan services and deliver services that meet those needs.
Q. So it should go without saying that that process of consultation often involves more listening than talking? A. It should ideally, yes.
Q. And picking up on something you said a moment ago, having potentially gone through this process and identified a suite of - or come up with a plan for the delivery of health services to a particular population, it's critically important, isn't it, that the health administrators check in regularly with the population to make sure that the plan actually is delivering on its objectives and, if it's not, what might be changed in order to better deliver on those objectives?
A. Yes, absolutely. And I also think that is an
excellent opportunity for us as a system to improve, is as you say, not only delivering a plan but then measuring the outcomes and outputs of that - of those plans, and how we measure - what measurements do we use to actually indicate that we are having an impact in terms of the services that we're delivering, how we're improving health outcomes, how we're improving equity and access to those services.

We have some measures that we use now, and they're pretty consistent across the system, for how we measure access, outcomes, for example, but I think there's certainly opportunity for us to further expand those.
Q. Do you perceive there to be any challenge in measuring health outcomes in a vaguely objective way, presented by the siloed nature of information and data within the wider health system across the various tiers through which that health care is delivered - so acute, primary care, allied health, et cetera?
A. Certainly measuring health outcomes is more challenging than measuring process - health processes, for want of a better term, or inputs, and I think in some parts of the system, we're starting to be - we're starting to have an evolved and sophisticated approach to measuring health outcomes. So for example, just in my context we measure what we cal1 "patient reported outcome measures", so we survey our patients at particular points post their intervention, surgery, or whatever it is they have come to our hospital for, to measure the outcomes of those interventions. But that is a fairly embryonic - we're at a fairly embryonic stage in terms of measuring outcomes compared to how we measure inputs and processes and that's definitely an opportunity for us as a system to further evolve that.
Q. The measurement of those outcomes in any really meaningful way is a longer-term process, isn't it?
A. Yes, exactly. So it's actually - you know, there's a logistical challenge, even at that basic level of measuring those outcomes over a long period of time, which I guess is an additional challenge from an outcomes measurement perspective.
Q. Let's take the hypothetical patient at St Vincent's who might have had a valve put in to their heart, working out whether they've had a good experience during their few days staying at St Vincent's is important?
A. Yes.
Q. But that's almost measuring process rather than measuring outcomes?
A. Correct, yes.
Q. Making an assessment of whether they feel or seem to be better as they walk out the door than they were when they walked in the door, that is a measurement of health outcomes to a degree?
A. Yes.
Q. But in order to work out the value which really lies in the procedure that has been delivered to them, one needs to get access to data that travels wel1 beyond that?
A. That's right. And have seamless - and have a connectivity across the system to enable access to that data. So that's another challenge across the system, particularly coming back to that point around the full
system perspective. So not just the tertiary or the hospital system, but also across other elements of the healthcare system and how we can get access to that full suite of data to enable us to really measure the trajectory, if you will, or the kind of long-term outcomes of an intervention.
Q. It's not necessarily confined to those things that, within the medical sphere, are often easily measurable, like blood tests and ECG recordings and the like; it might extend to something like whether that particular patient is now able to walk up the hill to the shops?
A. Exactly.
Q. Which is something that they weren't able to do before?
A. Exactly, go about their daily lives, and to the extent that we - we can capture some of that information but, as you say, that's at a specific level, either to our facility or our particular service that we provide, particular patients that have been within our care and we still have access to engage with that patient that we can measure those things. But the complexity lies in measuring those things on a much more longitudinal basis at a system level.
Q. Dealing with that complexity, and without inviting you to tell us which particular software or how it might be done, do you have a view about the particular levers that might, at a high level, be pulled in order to better enable that complex challenge to be addressed?
A. I'm afraid that might be somewhat beyond my scope of expertise, other than having an idea that it's a good idea.
Q. Well, if you've got a good one, your expertise is no doubt greatly significant --

THE COMMISSIONER: Are we the lay people you were referring to before, are we?

THE WITNESS: I'm not aware, but I'm sure the experts are, of any particular application or platform that would enable that full-system level data access.

MR MUSTON: Q. I'm not so much interested in platforms and the like. More at a high level, are there any systemic impediments at the moment, whether it be just personality based impediments, privacy law based impediments, practical
impediments - is there anything that you see as preventing us at the moment from actually letting those smart people identify a way of pulling together all of that data that could be shifted?
A. I think only insofar as to your point there would be some legislative impediments, probably. There would also be a challenge that would have come - would have to overcome in terms of responsibility for health care, so, for example, the Commonwealth/state arrangements in respect to delivery of health care might be one of the challenges that we would need to overcome, particularly, obviously, as it pertains to the interface between primary care and hospital based care.
Q. Could I take you to page 96 of your statement. It's a copy of the 2023/2024 service agreement which, for the benefit of the operator, it's AM-9. It has a range of different numbers on mine. The easiest number is [SVH.0002.0001.0285], or if that doesn't work, you could try [SVH.9999.0002.0096].

THE COMMISSIONER: Yours has two numbers too, does it?
MR MUSTON: Mine does, yes.
THE WITNESS: Is this the 2023/2024 service level agreement?

THE COMMISSIONER: Q. Yes.
A. Yes, got it, thanks.

MR MUSTON: Q. If you have a hard copy of it and the Commissioner has a hard copy of it, we can forge along while the poor operators are grappling with my complicated series of no doubt conflicting numbers. Could we go to page 107. I say not dismissively of what appears before 107, but it appears to be boilerplate --
A. Yes.
Q. At 107 you see under the subheading "NSW Health services and networks", there's a reference to the fact that each NSW Health service is part of an integrated network or networks of clinical services that aim to ensure timely access to appropriate care --

THE COMMISSIONER: Sorry to interrupt, in the tender bundle it's got the 0096 number, $I$ think, G.029.9, if that
helps the operators.
MR MUSTON: That's [SVH.0009.0002.0096].
THE COMMISSIONER: Yes.
MR MUSTON: The one on the screen also has two numbers, so --

THE COMMISSIONER: Yes, there we go.
MR MUSTON: Q. I should probably ask, you recognise that document as the 2023/2024 service level agreement between the secretary of NSW Health and St Vincent's?
A. Yes.
Q. If we turn over to page 97 , it appears that that agreement was executed by the chair of St Vincent's Hospital Sydney board on 15 November 2023?
A. Yes.
Q. And Susan Pearce, in her capacity as secretary of NSW Health, on 16 November 2023?
A. Yes.
Q. We'll come back to the circumstances leading to and following on from the execution of this agreement, but for present purposes, could I ask you to go back to page 107 of the pages in the document, but it's also the 0107 in the top SVH number. Do you see there the subheading, "NSW Health services and networks"?
A. Yes.
Q. Could I ask you to read the first sentence to yourself. You don't need to read it out loud. That's a noble ambition. Could I ask how, at least from your perspective, do you understand that aim as it's described, to be approached, or how is that aim being approached? A. Well, I think there are several aspects to that, and as I said earlier, it's my experience that the New South Wales health system is a very well coordinated and cohesive and collaborative system, and I think there are a number of structures and mechanisms in place to enable that level of coordination and to the reference there around integrated networks of clinical services and effective contribution to the statewide - the operation of statewide and - service delivery.

So, I mean, I can only obviously speak to my experience as the CEO of Sydney network, but our experience is very much that we are very integrated in with the system and on a day-to-day sort of operational - from an operational perspective, we coordinate very closely with our system colleagues and with the ministry to manage demand, both planned and unplanned demand, and that happens, as I say, on a daily basis, but also, you know, on a more prospective and kind of planned basis as we look to the year ahead in terms of what that demand might look like and what level of mix of services each of us are going to provide to meet that demand.
Q. In terms of the mix of services, there's not much in the service level agreement that actually identifies what mix of services are actually going to be provided by St Vincent's through any of its facilities. Would that be a fair observation?
A. That's a fair - that's a fair comment, yes.
Q. Just while we're on page 107 , and with that thought in mind, what do you understand the next paragraph to mean, where it says:

> NSW Health acknowledges that the Network's strategic and operational planning is also developed as part of the strategic and operational plan for St Vincent's Health Australia group.
A. Yes, I think that's a really important statement and it's my experience that NSW Health certain1y acknowledge the fact that St Vincent's Hospital Sydney is part of the St Vincent's Health Australia group and therefore we operate within both the NSW Health environment and the St Vincent's Health Australia environment. For example, we align our operational and strategic priorities with both the St Vincent's Health Australia strategy and with the NSW Health Future Health strategy.

Now, those strategies are highly aligned and so the approach to making sure that we are meeting our obligations to both NSW Health and St Vincent's Health Australia is helped by the fact that those strategies are highly aligned in terms of their philosophy and their approach and their focus on meeting the needs of the community.
Q. The NSW Health Future Health strategy is cast at a relatively high level?
A. Yes.
Q. Having regard to the very broad nature of the ambitions that it identifies, how does one really go about ascertaining whether something which might be - perhaps let me express this in a different way. Is there much that can be done in terms of the delivery of health services that could not in some way, shape or form be characterised as advancing the objectives of the NSW Health Future Health strategy?
A. Look, it is a high-level strategy, so it's the responsibility of networks and LHDs and other participants in the system to localise and understand what is the delivery - how do we deliver health services that then, as you say, meet the needs, meet the objective of that strategy.

It is a broad-ranging strategy, and I think that's broadly appropriate for a strategy that is a fairly long-term, forward-1ooking strategy. I think the key, then, is to say - as we've talked about earlier, is how do we cascade those broad objectives down to a system level and to a facility level, at network or LHD level, and understand what that - what we really need to deliver on a kind of more tactical basis.
Q. Recognising that there's a balance to be struck between the central and the devolved in terms of how things are achieved and delivered, do you think there might be value in a future health strategy identified by the central ministry having some slightly more tangible targets or objectives?
A. I think for any strategy to be a good strategy, it has to identify tangible outcomes and measurables, and, you know, to the extent that we were talking about earlier in terms of how we measure outcomes, that's still an evolving space in health care, but I certainly think the strategy does, as it cascades down, and the service level agreement is one of that, identify tangible outcomes and measures for how they - how we can measure the fact that we're delivering on that strategy and we're delivering the sorts of outcomes and experiences that the community expect of us as a system.
Q. Tracking down to 3.1, "Cross district referra1 networks", without needing you to tell us in any detail what they involve, is St Vincent's, as an entity, involved in the delivery of care through any of those networks?
A. Not specifically.
Q. $\quad 3.2$ tells us about the supra LHD services which I think St Vincent's is involved in the delivery of? A. Yes.
Q. If we turn over to page 108, we see a description of what those services comprise, and then there's a reference to what's being delivered as part of them by each of a range of different hospitals. Taking, for example, adult intensive care unit beds, St Vincent's there is identified as providing 21 into the network?
A. Yes, yes.
Q. In relation to that, can $I$ ask, is there any formal obligation imposed upon St Vincent's by any document or arrangement that it has pursuant to which it has to deliver those 21 intensive care beds?
A. Yes. So --
Q. What is that arrangement?
A. Yes, so that's part of the detail in the service level agreement in terms of the amount of activity and therefore funding that we get provided to deliver against those, for example, as you said, the intensive care, 21 beds, and other specific statewide services purchase volumes that are articulated in this SLA.
Q. But when we get into the detail of it, though, are you referring to the budget which commences at page 112, or is there a separate document full of detail that sits behind this?
A. Yes, no, I'm referring to the broader budget, yes. So I guess, coming back to your question, there's no specific dedicated funding allocation specifically for the intensive care beds, because it's the responsibility of, in our case, the network to allocate the funding required to intensive care to deliver against those 21 beds.
Q. Again, it may be that someone has a far greater understanding of how the NWAU targets contained at page 112 and following are to be interpreted, but as I look through the table commencing on page 108, we've got, for example,
the 21 intensive care beds. Turn over the page, there's the ECMO --
A. ECMO. ECMO, yes, extracorporeal membrane oxygenation.
Q. St Vincent's is providing that; obviously the only provider of 106 heart, lung and heart-lung transplants, and so on?
A. Yes.
Q. It's not entirely clear to me to - tell me if there's a way of interpreting what follows that will make it clear. It's not entirely clear to me, from what appears at page 112 and following, that any aspect of the numbers which are set out there really requires St Vincent's to deliver any of those particular services, beyond a particular amount of activity within, say, acute admitted, which is pretty broad church?
A. Yes.
Q. A particular amount of activity, 7,616 NWAU, for emergency department. We can understand that very broad bust-up of the activity that's to be delivered, but in terms of the actual services which are being delivered by St Vincent's, as what would seem to be an important part of the matrix in that table which appears and commences at page 108, is there anything that requires St Vincent's to do that?
A. Only insofar as it's a condition of subsidy, of course, through the SLA, that we deliver that level of capacity, and there is an expectation from the system and from the ministry that that level of capacity is delivered. We do have quarterly performance meetings with the ministry, as do all the other LHDs, where we review our activity, and whilst it's not specific to, for example, ICU, it is at a level of granularity that's more granular than what's in this table on page 112, for example. So we do get visibility over some of the subsets, for example, of the acute admitted category and how much activity we're delivering against those particular subsets. So there is a level of monitoring and acquittal, if you will, if you want to use that term, that we are delivering that level of capacity for the system as per our SLA.
Q. So is it right that to the extent that you are, in a slightly more blunt way, delivered parcels of activity through this budget, somewhere within back of house at the ministry and in discussions with St Vincent's, there is
a slightly more detailed reckoning of exactly what that activity is broken up into?
A. Yes, yes.
Q. Such that whether the agreement, the service agreement, explicitly provides for it or not, there is an understanding on one side, at least - probably both - that that activity is provided so as to enable you to, inter alia, deliver 21 intensive care beds, deliver 106 heart and heart and lung transplants, et cetera? A. That's right, yes.
Q. If you didn't deliver your 21 intensive care beds because, for example, financially it seemed not to be sustainable for you to do it, do you have any sense of what any consequences would be for St Vincent's?
A. I think there would be a process of review as part of the SLA process for the following year, where there would be an analysis done of the level of activity we did provide in the previous year and if it was demonstrated that, for whatever reason, as you say, we were unable to provide that level of activity, I think there would probably most likely - unless there were particular extenuating circumstances - that there'd be a redistribution of that activity elsewhere in the system, if it was that we couldn't continue to provide that level of service prospectively.
Q. At a practical level, putting, say, intensive care beds to one side, something like heart and heart and lung transplantation services, were St Vincent's to reach the view that it was not economically viable to deliver them under the current arrangement, what are the practicalities that would be associated with some other entity within the public health network picking up those 106 procedures?
A. It would be very, very challenging, logistically, to redistribute that activity, and that's for several reasons. The first is that we've been providing heart-lung transplantation for around about 40 years, or slightly longer, at St Vincent's, and so over that period we've built up a critical mass of expertise, infrastructure, research, for example, in heart-lung transplantation, and so to redistribute that service elsewhere in the system would be an incredibly challenging undertaking.
Q. And presumably not something that could be done overnight?
A. It certain1y wouldn't be done overnight.
Q. We'11 come shortly to some of the budgetary challenges that St Vincent's has been facing, but as part of its internal thinking around how to deal with those budgetary challenges, has consideration been given to changing the service mix offered at St Vincent's to perhaps reduce the emphasis that currently lies on some of those types of procedures and patient cohorts which you've told us are not we11 captured by ABF funding models?
A. I think as part of any annual planning and budget cycle, there's always, in my experience anyway, a process of review of the level and mix of services provided what's still current, does it still meet the needs of the community and is it still logistically and operationally appropriate and possible to deliver that same level and mix of services. So that's a standard process that we would go through every year.

Certainly with something as critical to St Vincent's and to the community as heart-lung transplantation, there would be a very, very significant discernment process that we would go through if there were to be any change to our level of service delivery for that particular service.

THE COMMISSIONER: Just before you go on, just for the record, your question, which included "which you've told us are not we11 captured by ABF funding", and there's part of Ms McFadgen's statement that deals with her heading "Limitations in ABF funding model", we're not dealing with that specifically in this hearing; that's for later?

MR MUSTON: The extent to which particular types of service are captured or not captured well by ABF is going to be dealt with in the funding block.

THE COMMISSIONER: Yes, okay.
MR MUSTON: The extent to which, if it be the case - and we can proceed on the hypothesis that it may be[, that's certainly Ms McFadgen's perception - that there are certain types of service that are not well captured by ABF, I am interested in exploring with her today the impact that that has on the way in which an entity like St Vincent's goes about its operations.

THE COMMISSIONER: Understood. There's probably no other
sensible way of dealing with it.
MR MUSTON: No, mainly to avoid having to bring her back.
THE COMMISSIONER: Right. Okay. Given that other people are covering this topic.

MR MUSTON: Yes. But whether Ms McFadgen's perception be right or wrong is probably a matter for a group of health economists looking at the ABF model and breaking down the costs of delivering, for example, a heart-lung transplant in the way that the research that has been recently undertaken and is alluded to in her statement sets out.

THE COMMISSIONER: Am I making findings about that, am I?
MR MUSTON: I'm not sure about that.
THE COMMISSIONER: Keep going.
MR MUSTON: Q. Let's just put heart-lung transplants to one side for a moment because they perhaps fit into a special category both - pardon the pun - in the heart of St Vincent's and in the heart of the state. The issues that you've identified as part of your regular process, an assessment of whether services that are being delivered are still current, whether they're still needed by the community and whether they're still being provided in the best way that they can be, recognising that that's a sensible regular piece of retrospection, but the next question that I'm trying to get to is, is there a further question which St Vincent's finds itself having to ask at the moment in the light of its budgetary constraints, which is: to the extent that we might be able to tick all of those boxes, if it's not possible to provide those slightly more expensive or more poorly captured services in a way which is sustainable financially, do we, nevertheless, have to move away from them and increase our focus on services which are well captured by ABF, putting it very sort of loosely, the easy stuff?
A. I think as a tertiary referral provider it is critical for us, and the community would expect us, to continue to provide those highly specialised services, irrespective of whether the ABF funding model, in particular, is appropriate for those services.

There are other funding models available, too, for
those very high complexity low volume services that we would seek to explore to augment the ABF funding - for example, block funding is one example, and the study that's referred to in my statement, particularly in relation to heart transplantation, reflects the fact that the other aspect of complexity with heart transplantation is that there are significant stand-by costs for any transplantation, heart transplantation included. So you have to have a team of people essentially at the ready at any given time to provide a transplantation service, because you're never quite sure when the opportunity, so to speak, will arise. And so for those sorts of services, I think a block funding arrangement that augments the activity based funding, which is designed to fund purely that particular - the actual transplantation intervention, would be our first port of call in terms of exploring a more appropriate funding model for those services.

THE COMMISSIONER: Just in relation to your question, which had the phrase "more poorly captured services", should I understand that to mean, with the best coding in the world, there's still a limitation as to how well the cost is captured?

MR MUSTON: That's, in essence, as I understand the witness's evidence, but I'll explore that.
Q. I can take you to the paragraphs if it would help, but when you tell us in paragraphs 58 and following that there are some services that are not well captured by the ABF funding model, are we to infer from that that what you're saying is if the ABF funding model is working perfectly that is to say, every little bit of activity which is associated with a patient has been captured in a way that it is capable under that model of being captured - the funding or the moneys paid in respect of that captured activity, is received, there will nevertheless be a deficit between the moneys received on the one hand, through that funding model, and the costs of delivering the service on the other?
A. Certainly for heart transplantation, that is the case, and that is because there are two elements to heart transplantation, that is, the actual transplantation episode, and ABF covers that technically, but there are some deficiencies with that, which I can talk to, and they're in my statement. And then there's the, if you will, wrap-around, peripheral, peri transplantation costs,
both pre and post transplantation, and particularly in relation to organ retrieval, that are not covered by activity based funding well. So that's where something like a block funding agreement, a block funding arrangement, could work.
Q. What about - just moving away from the heart and lung transplants - some of the more sort of business-as-usual procedures, like those you've identified in paragraph 59(a)?
A. So activity - my experience, my understanding - and I appreciate that that's my particular perspective - is that activity based funding is most appropriate for high volume, low complexity services, and there are good mechanisms in place for annual but certainly regular review of the factors that drive activity based funding, like the cost weight, so the amount of money you get paid, and the kind of - it's called a cost weight, it's very hard to explain, but the weight that a particular intervention or admission will receive through activity based funding.

So I think, though, that process is fairly well understood and well managed, there is a delay between those weights and the activity based funding components being updated and, I guess, the sort of day-to-day reality of running a hospital, so there is the delay that could be improved, which would make ABF more contemporary, I guess, in the sense of the payments reflecting the true cost of providing those particular services. So for a large part of the services that we provide, ABF is an appropriate funding mechanism.
Q. To the extent that there is a deficit between -
a budget deficit that we're coming to, is that referable to that other section of the services provided by St Vincent's which are not well captured by ABF funding?
A. Yes, it's largely that. There are a couple of components to that. So the first is that I think there's a - St Vincent's has a concentration, if you will, of services like transplantation, highly specialised services, and services that are expressly designed to meet the needs of very vulnerable and marginalised people in our community who have the large social complexity, and so for those services, $A B F$ is not the most appropriate funding model, and so because we have a concentration of those sorts of services at St Vincent's, I think the impacts of the deficiencies of the ABF model are concentrated, which does
contribute to some of our budgetary challenges, yes.
Q. Coming back to my earlier question, as part of decision-making within St Vincent's around the services that it offers going forward, accepting that taking away or moving out of any of those areas is probably inconsistent with St Vincent's core mission, but, nevertheless, financial realities prevail, don't they?
A. I think that, you know, St Vincent's has been providing public healthcare services for 167 years to the community and it is absolutely, as you say, core and integral to our mission to provide those services. So we will - it is incumbent on us to explore every option to appropriately fund those services in an environment of increasingly scarce resources.
Q. The exploration of those options, I think, if I take from an earlier answer you gave, would involve going to the ministry and saying, "Here are some alternate funding arrangements for some of the services we're providing that would better capture, in an equitable way, the cost of actually delivering the services that we are providing in these areas not well captured by ABF?
A. That's right, yes, that would be one avenue.
Q. It would be your hope that that would result in a meeting of the minds?
A. Yes.
Q. If it doesn't, what other avenues, if any, are available to St Vincent's?
A. Well, we would need to, as we always have been for 167 years, be very creative, innovative in the way we approach - we might need to change our models of care, we might need to work with other community organisations, other funders, for example, to see if we could secure appropriate funding to continue to deliver those services.
Q. When you refer to "other funders", what funding sources do you have in mind, if any?
A. We receive funding from a range of different sources, obviously including philanthropic sources, so we're very privileged at St Vincent's to have strong community support, and we have generally had that support particularly for some of those services that we provide to very, very vulnerable and marginalised people in our community, because it is core to our mission.
Q. To the extent that we just focus our attention on the delivery of public health care through that part of the public system which is currently being administered by St Vincent's, do you have a view on whether philanthropy should play any role in the funding of those services? A. I do. I think that there is a very clear role for philanthropy, and that is to fund innovation, new technologies, new models of care and to seed fund, for example, those sorts of things to enable us to continue to innovate. In fact, you know, we have historically had very strong community support for exactly those purposes, and a number of the innovations and inventions and new technologies and new models of care that have come out of St Vincent's have been initially seed funded or supported for proof of concept by philanthropy, and I think that's something that has been a very strong partnership between St Vincent's and the community.

But importantly, for us to maximise and optimise the use of those funds, which we're very privileged to receive, we need to be able to leverage that philanthropic support for broader scale, and that requires a partnership between St Vincent's, our philanthropic supporters and the state, to be able to really say, "Okay, you might pilot or seed-fund something at St Vincent's through philanthropy, but if you want to see the benefits of that innovation rolled out more broadly, then, you know, that requires a partnership with the state to enable that to happen."
Q. So is your point philanthropic funds are appropriately used as seed funding for innovation and developing new ways of delivering health care?
A. Yes.
Q. But to the extent that that process of innovation or the testing that has been achieved throughout seed funding reveals that the new model of care or the new way of delivering health care is an efficient or the best way to deliver that health care, philanthropic funds should play no role in the continuing delivery of health care through that model as business as usual?
A. Ideally. I mean, I think that's the best way that we can use those philanthropic funds, is to support innovation, not to support what then becomes eventually basic clinical operations or embedded models of care in an operational sense.
Q. Undoubtedly, there are an array of different philanthropic arrangements that you have, but would it be fair to assume that some of them or perhaps a significant portion of the philanthropic money at the disposal of St Vincent's is not available to be used for just any old part of St Vincent's business?
A. That's correct, yes.
Q. Tied to particular projects, sometimes?
A. Yes, predominantly to research, education, ongoing professional development for some of our workforce, and particularly capital redevelopment, asset replenishment.

MR MUSTON: I'm about to move to another topic. I know it is a little bit earlier than usual, but we have all been speaking quite quickly this morning.

THE COMMISSIONER: You would like to take the break now?
MR MUSTON: If we took a short adjournment it might be appreciated by those who are taking down our fast-paced --

THE COMMISSIONER: All right. We will adjourn until 11.30.

## SHORT ADJOURNMENT

THE COMMISSIONER: Yes, please proceed.
MR MUSTON: Q. Can I come now to just ask you some questions about the process of setting the '23/24 and soon to be '24/25 budgets and some the correspondence that has been exchanged between St Vincent's and the ministry around that.

Could we go to the document at $G .052$ in the Commissioner's bundle [SVH.0001.0001.0780]. We'11 get a copy of it up on the screen for you shortly. That's a letter from Paul McClintock to Susan Pearce - sorry, it's a letter to Paul McClintock from Susan Pearce. It doesn't seem to be dated, in a way that I'm growing increasingly accustomed to seeing in some of these documents, but it's probably more my OCD obsession with chronologies than anything else.

That document, if you have a look at it - it should be
up on the screen now --
A. Yes.

THE COMMISSIONER: The "interim 2023-24" probably at least means, what, from July onwards in '23, until the budget?

MR MUSTON: Q. I'm assuming it's some time prior to 19 September 2023, is what $I$ have gathered from the first line in the letter.
A. Correct, yes.
Q. Are you familiar with this process of a budget deferral or budget delay by reason of political matters within New South Wales?
A. My experience only extends to this particular instance, because of the new incoming government, they deferred the full budget to September. That would be the only time, in my experience, that there's been a deferment of annual budget cycles and SLA discussions.
Q. When you say that, during the lengthy period of time that you were working within health administration in Victoria, was there ever a change of government?
A. Yes.
Q. Was delayed delivery of funding for hospitals that you were administering during that period a feature of that transition?
A. I must admit I don't recall the specifics of when correspondence and budgets and funding was provided and the timing of that at the - in that context.
Q. Coming back to the particular instance that you're most acutely familiar with, is there an impact that a delay in the budget of the type which is reported in this letter has on the way in which an organisation like St Vincent's Sydney goes about its operations?
A. Yes, so I think the primary impact was that we made we had to develop a modelled budget for this financial year on the basis of a series of assumptions related to the interim funding agreement, which was essentially a rollover from the previous year's funding with some minor adjustments for factors that were known at the time. So we made a series of assumptions, informed assumptions but assumptions to build the preliminary FY24 budget on the basis of that interim agreement.
Q. In terms of future planning, though, you had no certainty, at the time of this correspondence and in fact until the final budget was provided, about how much money would actually be at St Vincent's disposal in the 2023/2024 budgetary period for the purpose of delivering services at St Vincent's Darlinghurst and at the Sacred Heart?
A. Not over and above what was essentially the rollover from the previous year.
Q. Does that hinder in any way or did that hinder in any way your capacity at St Vincent's to plan or provision for the delivery of services?
A. Well, ultimately, as it transpired, the modelled budget and the SLA that we ultimately received in November was - there were some differences in the parameters of that service level agreement as distinct from the interim budget. Some of the assumptions we had made around the interim budget proved not to be evident in the final budget allocation. So we then did have to go through a process of realignment, re-review of that budget, and in terms of the impacts that then flowed on from that, from ultimately the SLA that we provided in November.
Q. When you say that some of those assumptions ultimately proved not to be evident, is that a reference to moneys that you thought might be forthcoming in fact weren't? A. Correct.
Q. And by the time you reached November, which we'11 come to shortly, when you received the service level agreement, by that stage you'd, in fact, been delivering the services contemplated by it for several months, hadn't you?
A. Yes.
Q. Is that something which causes any challenges for a private organisation 1 ike St Vincent's operating within the public health system?
A. It does. It provides a number of challenges and, you know, as we've said earlier, health is a very dynamic
environment and in the environment of scarce resources, every month counts for us in terms of our annual budget allocation and making sure we can meet that budget allocation with the level of service delivery that we provide and the efficiencies that we will deliver as part of that process.

For St Vincent's - so that's not necessarily unique to

St Vincent's. For St Vincent's, the uniqueness is we are part of St Vincent's Health Australia, of course, private not-for-profit company, we operate within the environment of the Corporations Act at the St Vincent's Health Australia level, so we do have other obligations incumbent upon us that some of the other LHDs, for example, don't have in terms of making sure that we are a financially viable and sustainable organisation. So having a situation where we had essentially a delayed final budget allocation to November did create some additional challenges for us, yes.
Q. Cutting to the chase on that, the directors of St Vincent's Sydney and St Vincent's Australia have an obligation not to trade whilst insolvent?
A. Correct.
Q. And despite the fact that there's not a group of shareholders looking for a profit who might bring a shareholders class action against St Vincent's, it, nevertheless, presumably enters into a very large number of commercial arrangements with external parties through the course of a year?
A. Yes.
Q. The provision of machinery and services and the like?
A. Yes.
Q. The total value of those contracts at any given time is no doubt very large?
A. I expect so, yes. I don't have full visibility over those numbers, yes.
Q. The need to ensure that the entity is, in fact, solvent at the time when those arrangements are being entered into is important to insulate the directors from the ever-present risk that the third parties on the other end of those agreements might say, "Whilst the company can't pay because things have gone catastrophically wrong, you, as the director, must, because you committed yourself to this expenditure at a time when the company was not solvent"?
A. Yes.

MR MUSTON: Can we turn, then, to G.081. I might come back to G .081 when it materialises. Let's go to G.034, which is --

THE COMMISSIONER: Sorry, we're not going to G.081?
MR MUSTON: If it's up on the screen, I'11 work something --

THE COMMISSIONER: It's not on the screen.
MR MUSTON: It's back.
Q. G. 081 is another letter to Mr McClintock from I think Ms Pearce. If we scroll down, I think the handwriting in the top will prove me correct. Yes. So it's 19 September 2023?
A. If we could scroll back to the top of that, that's the communication by which you received a copy, or St Vincent's received a copy of its 2023/2024 service level agreement?
A. Yes.
Q. In relation to that, other than the helpful information which is contained in the letter about the wider health landscape and challenging budgetary circumstances and the like, what process did that initiate within St Vincent's - that is, the receipt of that?
A. So once we receive the final service agreement from the ministry, we then undertake a process of detailed analysis of the information contained in that agreement, not just in relation to the financial element activity but also in relation to the key performance indicators that are contained in the SLA in terms of our performance parameters with the state.

Once we've undertaken that analysis and we know what the impact is and what the expectations are of the ministry and of the state for us to deliver for that year, we then provide a recommendation to the board, ultimately, to either endorse the service level agreement or to not endorse the service level agreement.
Q. Could we just scroll down to the second page of the document. Do you see there in that paragraph commencing "In a challenging financial landscape"", what did you understand that to be a reference to - that is to say, the "challenging financial landscape"? What was the challenge that you understood, at least, the author of the letter to be alluding to?
A. I think there have been some key financial challenges
across the system which have been particularly pronounced in the post-pandemic state, if you will, and some of those we referred to earlier, in the sense of we're experiencing extraordinarily constrained workforce environment, certainly increased escalation in price, goods and services; escalation over that period was quite pronounced. Those would be the two key - kind of key constraints around the financial landscape.

So I think, you know, the purpose of this paragraph obviously is to set the expectation that those constraints are system-wide and that there's an expectation on LHDs, networks, certainly entities like St Vincent's, that we will use those scarce resources as judicially and wisely as we should.
Q. One implication that one might take from that paragraph is a message that despite that challenging financial landscape and the escalation in those costs, the escalation is not matched in the funding that's being provided to provide those services; is that how you understood that paragraph?
A. Yes.
Q. Therefore, you're going to have to try and find some other ways to make that limited pool of funding work to deliver the services that you're otherwise required to deliver?
A. Correct.
Q. Did that have a particular consequence in the context of the way St Vincent's was operating its business?
A. I don't think so necessarily. I think it's
a fairly common experience as a health care administrator to be continually looking for innovations, efficiencies and savings in what has always really been a resource-constrained environment. Obviously there have been some escalating factors as I spoke about for this particular year. So I don't think that situation is particularly specific to St Vincent's.
Q. If you go to G.034, which is [SVH.0002.0001.0208], it's a letter of 24 October 2023 from yourself to Mr D'Amato, albeit dealing with some decommissioning costs associated with closure or disinvestment of St Joseph's hospital. That process was happening in parallel with the discussions which are emerging in relation to the service

1evel agreement for '23/24?
A. Yes.
Q. Can I ask how, if at all, did the decommissioning of St Joseph's fit with those discussions around the service level agreement '23/24?
A. So there were two key aspects to that. The first is that, as we referred to earlier, there was a transfer of activity and therefore the funding associated with that activity from St Vincent's Health Network to Western Sydney LHD --
Q. Resulting in a reduction of funding to St Vincent's?
A. Resulting in a reduction of funding to St Vincent's because essentially the activity was being transferred, so that was one impact, and that took some time to work through with the ministry, which is ultimately why we didn't execute our service level agreement until November, despite receiving that in September.
Q. Just in relation to that, the divestment of, the closure of, an entity like St Joseph's, presumably, is not something that involves closing the door and walking away?
A. Definitely not.
Q. There are costs associated with that decommissioning process?
A. Yes.
Q. Was there discussion had as part of the '23/24 service level agreement with the ministry around how some of those costs of decommissioning St Joseph's in an efficient way might be met?
A. Yes, and those are encapsulated and articulated in that - in the letter.
Q. What was the response to that, not necessarily in detail, but was a position reached where some accommodation was arrived at between St Vincent's and the ministry about how the costs of those decommissioning costs would be shared between those parties?
A. Ultimately, no.
Q. So where did it end up?
A. St Vincent's Health Network Sydney have incurred the costs of decommissioning St Joseph's Hospital.
Q. In a ballpark, what were those costs?
A. Ballpark at the moment, around about $\$ 12$ miliion.
Q. Those costs presumably eat away at the funding that you otherwise receive for the delivery of public care through other facilities, the two remaining facilities? A. So those costs - obviously they're one-off costs associated with the decommissioning and we won't be incurring those costs next year, but certainly for this financial year those costs did contribute to the particular challenges, financial challenges, we've faced this year, yes.
Q. Could we jump forward to G.044. I'm working roughly chronologically through this sequence of correspondence. That's [SVH.0002.0001.0281]. It's a letter from yourself to Ms Pearce dated 15 November 2023.
A. Yes.
Q. The first paragraph of that letter makes clear that the subject that you are wanting to engage in relation to is the service level agreement and presumably the funding component of it?
A. Yes.
Q. You indicate your desire to express some concern about the ongoing sustainability of St Vincent's under the proposed service level agreement?
A. Yes.
Q. Could you expand on what those concerns were to the extent, if it all, that they're not captured by this item of correspondence?
A. Yes. So as I mentioned earlier, once we had undertaken the detailed analysis of the parameters of the service level agreement and understood ultimately the revenue that would be generated through the activity encapsulated in that agreement, and then assessed those against our anticipated cost of service, so we, you know, had an interim modelled budget for the year, it was evident at that stage that there was a material deficit projected for this financial year as a result of the misalignment between the revenue provided and the cost of service and --
Q. Just dealing with that deficit, was any part of that the St Joseph's close-down costs or was that quarantined from this process?
A. No, that would have been included, yes, yes.
Q. Sorry, I interrupted you. So in addition to the St Joseph's, after that figure was taken into account, there was still a remaining deficit?
A. Still a remaining deficit, yes. And the other key constraint that we reference in the letter is that there was a projected deficit for this financial year and also that we were - we had some concern about our cash position commencing early in this current calendar year.
Q. If we could move forward to the document which is annexure AM-19 to your statement, we find a hard copy at page 255. And for the operator, I think, it will probably be found at [SVH.9999.0002.0255] a1so known as [SVH.0001.0001.1410]. Any or all of the above will work, hopefully.
A. I have it.
Q. That's a letter from you to Mr D'Amato dated 19 February 2024?
A. Yes.
Q. Just in relation to that --

THE COMMISSIONER: Just so I understand the chronology, though, the letter that you took Ms McFadgen to that's G.044, 15 November 2023, which requests a formal review of funding --

MR MUSTON: You've apprehended my next question.
Q. Other than Christmas, what happened between

15 November 2023 and 19 February 2024, insofar as the funding available to St Vincent's or discussions around that funding was concerned?
A. Specifically, I don't recall expressly what happened between those dates, but I would imagine that the next important milestone was us then reviewing what our sort of forecast budget position was.

THE COMMISSIONER: Q. In the documents that are in the tender bundle, there's no written response to your 15 November 2023 letter. Do I take it that there wasn't one?
A. I don't recall.

Was there a meeting between anyone relevant at the ministry and you or other relevant people at St Vincent's, between 15 November 2023 and 19 February 2024, to discuss the review of funding that you've requested?
A. Not to my knowledge, no. Nothing that I was involved in.

MR MUSTON: Q. You mentioned a moment ago that one thing that might have happened between 15 November 2023 and 19 February was a review of the budgetary position within St Vincent's. Perhaps if I could just show you the document, 15 November 2023 letter, that was G.044, that we had up on the screen a moment ago, [SVH.0002.0001.0281]. Just looking at the second paragraph of that letter, does that jog your memory as to whether by the time you wrote that there had already been some assessment made of the budgetary position, this being some two months or near to two months after the service level agreement had been received?
A. Yes, there certainly would have been and, in fact, we - given the very constrained financial environment and particularly the context for this year, which is encapsulated in that letter and others, we undertake very regular budget forecast reviews, particularly around the cash position, to the extent that we would do that at least monthly, if not more frequently.
Q. So what appears from the 19 February 2024 letter, which is at page 255 of your statement - if you just move forward to the second page, firstly, you see there there's a subheading, "Cash Forecast", in which you identify what projections indicate the negative cash flow position will be for the hospital going forward, ultimately culminating in a $\$ 60.2$ million hole in the budget?
A. Yes, yes.
Q. In response to that you identify that St Vincent's had implemented a program of efficiency improvement initiatives and structural reform across the hospital?
A. Yes.
Q. Could you just tell us what that program of efficiency improvement initiatives and structural reform involved? Having received the service level agreement, was some step then taken to then work out how tightly things could be squeezed in order to make sure they were running as efficiently as possible?
A. Yes, and --
Q. What was that process?
A. Again, that's not an unusual process in my experience as a hospital administrator, it's certainly incumbent on us to continually look for those efficiencies. This particular focus, this particular efficiency improvement program, really had two kind of key focus areas. One is obviously cost reduction and efficiencies, and the other is around revenue generation, obviously both of those designed to close the gap between cost and revenue.

From a cost perspective, we look predominantly at workforce salaries and wages because they make up 70 to 80 per cent of our cost base, but also the other key opportunity is around procurement contracting, making sure we're very, very tight, sophisticated and focused on our contracting and procurement efficiencies, so they are probably the two key programs from a cost perspective.

From a revenue perspective, we're always looking at how we can optimise our revenue. That relates to how we I think we referenced it before, particularly how we code and capture our activity based funding, but also any other revenue streams that might be available to us, and we're always looking to diversify our revenue streams insofar as that is possible in our context.
Q. Are there any particular challenges that St Vincent's faced in respect of the capturing of that activity or the coding? I have in mind perhaps its particular form of medical records that it used in its hospitals?
A. Yes. And there are some parts, components of our activity which are better captured than others. So our acute admitted activity and our emergency activity, generally speaking, is quite well captured. Again that's probably not unique to St Vincent's.

Mental health activity, non-admitted activity, the systems and structures we have for activity capture in those particular streams are less evolved as in the acute admitted and emergency stream, so we tend to focus on how we can improve our activity capture in those streams.

The situation for St Vincent's is compounded, which I think is what you're referring to, by the fact that we don't have an electronic medical record at St Vincent's,
and so our systems and our processes are paper based and, therefore, capturing the activity does have an additional layer of complexity for us, in terms of how we capture the activity in the paper record and then translate that into our coding, which then, of course, informs our activity capture and ultimately our revenue.
Q. You said that the searches for efficiencies that might be won is something which most hospital administrators would have constantly sitting on their to-do list. Presumably, some of these projects were under way before you received the to 2023/2024 proposed service level agreement?
A. Yes.
Q. Was the evolution of those projects accelerated by the receipt of that service level agreement and the funding that it promised?
A. Yes, and additionally, we - once we understood the size of the forecast deficit, we implemented a further program of workforce reform to augment those underlying efficiency initiatives to try and generate additional savings.
Q. So we touched on the coding and own source revenue. That's about maximising the amount of revenue that's capable of being generated by capturing as much activity as is delivered, as best as one can.
A. Yes.
Q. Own source revenue, is that a reference to private health revenue?
A. Correct.
Q. And other sources of revenue that might be available to --
A. That's right, yes.
Q. -- any public hospital delivering care to public patients?
A. Yes.
Q. Or perhaps public patients who elect to be private?
A. Correct.
Q. Enhanced bed management, what did that project contemplate?
A. So that's really around focusing on length of stay savings. So really making sure that we are operating as efficiently as we can be in terms of the - our sort of general operating tempo. And length of stay for us, and indeed any kind of public health service, is a really key area of focus, making sure that we are optimising the length of stay for people who are in our care - it's not too short or too long, it's just the right length of stay, and making sure we're generating the level of throughput that we can to optimise not only access to our facilities but also making sure that we are capturing as much activity as we can.

THE COMMISSIONER: Q. Just so I understand it, obviously I understand broadly you want to optimise coding, but at a detailed level, what's involved in that program to optimise coding? What was actually done as part of that program?
A. So by way of some examples, we - it's largely about education. It's about education of our clinicians, in particular, our medical staff, in terms of the important aspects of a person's care that need to be captured in the medical record in order to fully understand and document and therefore kind of capture from an activity and revenue perspective all the various interventions, care packages and other aspects of their care that are provided during that time. And it's particularly important for our junior medical staff, who are often the people who are documenting in the medical record.
Q. Can I just ask, was the driver for that program to optimise coding the fact that you were, in the '23/24 financial year, facing this very large financial deficit or had that been something - has either a program or at least some form of initiative being commenced before that?
A. Certainly to some level it had been commenced before that, and again, that would be something that would be relatively routine in a public hospital context. As I alluded to earlier, for this particular initiative, we were focusing mostly on those streams of care where we historically haven't captured activity particularly well, being mental health, drug and alcohol and non-admitted services. So whilst we would routinely undertake coding education for our clinical staff, this was a kind of additional level of focus, particularly on those streams.
Q. And in relation to what $\operatorname{Mr}$ Muston was asking you about
the program for enhanced bed management, which you started to explain, I take it probably for a lot longer than the '23/24 financial year, you would have wanted not to have people in hospital longer than they clinically need to be. What did that program involve at a more detailed level?
A. Yes, and absolutely, obviously again that's a fairly routine course of business for public hospitals. I think, in this case, we were focused on probably particular models of care, so again, particular areas of focus where we felt that we needed to improve the way we were managing the capacity that we have. Emergency is a particular focus for us, given the volume of emergency presentations that we have; geriatric care is another area where we focused heavily on --
Q. I was going to ask, did this program relate to people with particular health conditions? Because I imagine there's not a lot you can do for someone that has had a heart or lung transplant in terms of how quickly they can leave the hospital?
A. Correct.
Q. But was there particular --
A. Yes, yes.
Q. -- people with certainly health conditions focused on. You just mentioned geriatrics.
A. Geriatric care, exactly, and it's really about capturing new models of care that allow us to provide more efficient but also more effective care.

THE COMMISSIONER: Thank you.
MR MUSTON: Q. Just picking up on that, is the end game in terms of improving your efficiencies from a bed management point of view capturing more activity through those beds - that is to say, if someone generates a particular amount of activity and you can get them out in two days, then you can get someone else in there for the next two days to capture that activity?
A. Yes.
Q. Again?
A. Yes.
Q. Whereas someone who might stay for four days might get the first two days' worth of notional activity and then for
the next two days there's not a huge amount of activity that can actually be collected referable to the continuing care that they're receiving at a time when they ideally don't need to be there?
A. That's certainly part of the consideration, and linked to that is, coming to the models-of-care piece, how we can provide - we can work sort of smarter and more innovatively to provide wrap-around services. So, for example, virtual and home health care and other kind of transition arrangements or sub-acute care pathways, so that we can still provide the care that someone needs but in a more better environment from a patient outcome and experience perspective, but also a more cost-effective, often, environment.
Q. In terms of the coding, own source revenue is self-evident but in terms of optimising coding, the key is to increase the amount of activity which you are capturing by reference to the services which, as part of your business as usual, are delivered day-to-day through the hospital?
A. Yes.
Q. In terms of the extent to which either of those things can result in an increase in revenue for the hospital, there's another step in that process, isn't there, which is you need to have that additional activity purchased from you by the ministry?
A. Correct. Correct. So there is ultimately a limit or a cap, if you will, on how much activity, and therefore revenue, you can generate. So there needs to be an ongoing dialogue, negotiation and collaboration process with the ministry in terms of, you know, what ultimately that looks like and how much additional services, if you will, or activity, that they can - they have the ability to purchase.
Q. To the extent that you have visibility of it in the past, are you aware of St Vincent's having fallen short in terms of delivering the amount of activity which had been notionally purchased through service level agreements in years gone past?
A. I'm not aware of what may have happened before my time at St Vincent's, but certainly my experience is that we're currently ahead of target for the year.
Q. And so any improvements that can be won through better
coding or more efficient bed management will not necessarily result in any further funding for St Vincent's, unless an agreement is reached by the ministry to purchase that additional activity from St Vincent's?
A. That's correct.
Q. In fact, are there potential consequences from a KPI point of view if St Vincent's overruns its activity significantly by reason of the excellent efficiency drive that --
A. Yes.
Q. -- has been undertaken?
A. Yes, that could be an unintended consequence. So the way the service level agreement operates is there's sort of a parameter, a low boundary point, high boundary point around the activity, so as long as we deliver within those points, then we will receive our full funding allocation and may, in fact, as you say, receive additional revenue to reflect that activity. If we overachieve beyond that high boundary, that high point, then there's a risk, certainly a material risk, that we won't receive any additional funding for that activity.
Q. Just coming back to page 256 , the second page of the 19 February 2024 letter, under the heading "Response", you frankly identified that, at least as you saw it, there was a capacity to generate a $\$ 24$ million positive turnaround in terms of the efficiency gains that were afoot?
A. Yes, yes.
Q. That comprised two things: first, a reduction in costs?
A. Yes.
Q. So when we're dealing with improved workforce management and procurement/purchasing efficiencies in the next two bullet points at the top of the following page -A. Yes.
Q. -- it is anticipated that they would potentially produce a reduction in your costs?
A. Yes.
Q. And then through the optimised coding, own source revenue and enhanced bed management, subject to the issues that we've just canvassed, a potential increase in the
funding that was available to the hospital thus closing the delta?
A. (Witness nods).
Q. Just very quickly, the workforce management issues alluded to at the top of page 257 , what did that involve that is to say, the improvements in workforce management?
A. Yes, so three elements to that. The first is optimising how we use overtime. The second element is leave management, so making sure that people take their leave as it's accrued, obviously not only get a break, but we also - it helps to management our budget; and enhanced rostering.

One of the challenges we've had, in fact, with this particular stream, in delivering the savings that we identified for this, is that in the context of the workforce, you know, scarcity, particularly very unprecedented challenges we've had this year, it's been very challenging for us to deliver these savings because of the vacancies that we've had across the breadth of our workforce.

THE COMMISSIONER: Q. What should I understand by what you said - you said "how we use overtime"; what should I understand by that?
A. So that is really related to how we optimally roster, particularly junior medical staff. So sometimes what happens is if you have rostering inefficiencies in the way you roster junior medical staff, you can inadvertently create overtime because you don't have an optimal, if you will, pattern of coverage over a 24 -hour period, and this is a perennial problem for health services, in terms of making sure that that rostering pattern is optimised, and there are now some pretty clever sort of tools, AI and others, that help health services to optimally roster particularly junior medical staff, but not only junior medical --
Q. So there is an algorithm that can help you with this now?
A. (Witness nods).

MR MUSTON: Q. Assuming from an efficiency perspective and applying some of these clever tools these things are theoretically able to be achieved, are there industrial problems that come with them?
A. Yes, absolutely, and it's important that we work within the parameters of the industrial instruments, regulations, requirements, and that we're providing, you know, a great work experience, of course, for our people. So that is a challenge definitely. I don't think that's particularly new, though, in the context of health services, with the exception of the fact that the resource, the workforce constraints, are certainly at a level which we haven't seen previously.
Q. And it's probably fairly self-evident but the procurement purchasing efficiencies involves procuring things in a way that result in you getting the best price possible?
A. Reduced cost, yes, correct.
Q. So you tell us, going back to page 256 - or you told Mr D'Amato, that you anticipated that there could be a $\$ 24$ miliion worth of upside --
A. Yes.
Q. -- achieved through these various objectives by the closing of the delta?
A. Yes.
Q. Was that something that you anticipated could be achieved overnight or was it going to take a bit of time? A. No, it certainly will take time and I think I might reference that in the letter, that, you know, the size of the deficit, particularly for this year, certainly exceeded any gains that $I$ - as an experienced hospital
administrator, I think can be achieved reasonably in what was at this point a sort of six-month period. So we have certainly undertaken to ministry and the board and others that we will make every endeavour to achieve those savings, and we have made some very good inroads into those but, as I said, the workforce pressures that we're experiencing, particularly vacancies and others, have certainly impacted on our ability to fully deliver what we thought we could deliver from an efficiency perspective.
Q. Just projecting a little bit ahead of this particular letter, have you or did you communicate to the ministry in any discussions after this letter that this $\$ 24$ miliion gain was there to be made but would take some time and was a work in progress?
A. Yes, and we certainly talk about this explicitly when
we have our quarterly performance meetings with the ministry. So as part of those performance meetings, we talk about our financial position and we talk about any efficiency initiatives that we are undertaking and the status of those, what's at risk, for example.

We also - so the efficiency improvement program is a formal program of - as part of the ministry. So we also provide regular reporting around the status of what we call "EIPs", efficiency improvement programs, to the ministry. So they have full visibility of the trajectory of those anticipated savings.
Q. Just on page 257 , you identify the support that was being sought was supplementary funding of $\$ 60 \mathrm{million}$ ? A. Yes.
Q. That figure, I take it from what appeared on the page before, was to cover the $\$ 60$ million hole in the budget which had been identified, and assumed, did it, that the $\$ 24$ million worth of savings/additional revenue was not going to be achieved within the '23/24 budgetary period?
A. Yes, that's correct.
Q. Could we move forward to page 262 of your statement. In fact, sorry, 259 of your statement, which is [SVH.9999.0002.0259]. Do you have that letter in front of you?
A. Yes.
Q. It's a letter or an email from Susan Pearce to yourself, dated 28 February 2024.
A. Yes.
Q. Just before we get into the detail of that, do you recall whether there were any discussions between you or anyone else from St Vincent's and Ms Pearce or anyone else from the ministry, between 19 February '24, when you sent your letter, and 28 February, 2024, when this communication was received?
A. Yes, I had a couple of conversations with Adjunct Professor D'Amato about the request in my letter.
Q. Doing the best you can, what was discussed during those conversations?
A. It was really a discussion related to the letter that I had drafted, why I was asking for additional support and
the drivers for that additional support, and then clarification on some of those drivers for the particular financial position for this year.
Q. In that discussion, did Mr D'Amato suggest to you that you'd got your numbers wrong in any way or that there was something incorrect about the way in which you'd assessed the potential hole in the budget?
A. No.
Q. Did Mr D'Amato suggest to you during those conversations, as best as you can recall, that the hole in the budget, save for the $\$ 24$ miliion efficiencies that you, over the longer term, were hoping to achieve, were referable to anything other than a difference between the funding which was being provided on the one hand and the cost of actually delivering the services required to be delivered through the prior service level agreement on the other?
A. No.
Q. We'11 come to the document at page 259. It's obviously, I gather from the first paragraph, a response to your 19 February 1etter.
A. Yes.
Q. If we go down to the next paragraph commencing, "Having carefully reviewed", you see there is an offer there of an "additional subsidy of $\$ 30$ miliion, (one off)"? A. Yes.
Q. Upon receiving this communication, was it your view that the $\$ 30 \mathrm{milli}$ ion, would be adequate to close the hole in your budget?
A. No.
Q. That's basic arithmetic.
A. So obviously the request in my letter was 60 miliion, and ultimately the additional subsidy provided was 30 miliion.
Q. And I see it is described as a "one-off". In any part of your communications with Mr D'Amato, was there discussion around whether, assuming that the $\$ 24$ miliion efficiencies and further revenue for the services already being delivered could be won, when the remaining $\$ 36$ miliion hole, if my arithmetic is correct, would still
exist?
A. Not explicitly, and I think - you know, it's not for me to comment on the discernment process within the ministry and the ultimate decision around the volume of money ultimately provided.
Q. I'm interested in your discussion with Mr D'Amato. So just again, it may be my arithmetic, and correct me if it's wrong, but you had a $\$ 60 \mathrm{milli}$ ion hole in your budget? A. Yes.
Q. $\quad \$ 24$ million worth of it, you frankly conceded, was referable to some inefficiencies and a failure to capture revenue that was capable of being captured from the delivery of the services being delivered?
A. Yes.
Q. That leaves about $\$ 36$ million worth of unaccounted-for gap?
A. Yes.
Q. Right?
A. Yes.
Q. Which I think, I gather from an answer that you gave a moment ago - well, let me ask this in two parts. Your view was, was it, that that $\$ 36$ million remaining hole was referable to a gap between the funding provided for the delivery of services on the one hand and the cost at St Vincent's of actually delivering them on the other? A. Yes.
Q. Referable to inefficiencies in the ABF system and maybe just an inadequacy in the number which was being plugged in to the equation used for the ABF?
A. Largely around - I think there are two components to that. So ABF is one part of the picture. The other part of the picture is the capital funding that is received by Sydney - St Vincent's Sydney, and the extent to which, because we receive relatively limited capital funding, there's a scenario that plays out for us where, on some level, we need to use operational funding to supplement capital - asset replenishment and facilities maintenance, and so I think that contributes to some of the challenges that we face.
Q. So if a particular piece of machinery, for example,
that's mission critical in delivering intensive care services on one of those 21 beds dies, you have to replace it?
A. Yes.
Q. And unless some capital funding is received from the ministry with respect to that particular piece of possibly quite expensive equipment, the cost of replacing or repairing that machine has to come out of St Vincent's budget?
A. Yes.
Q. Which, in turn, is populated by the funding provided for the delivery of the activity generated through the use of that particular machine?
A. Yes.

THE COMMISSIONER: Q. This letter has your emai1 address. Was it emailed to you?
A. Yes, it was.
Q. On 28 February?
A. I can't recal1 the exact date, but I presume so from the date on the letter.
Q. That's not a typo, "Please inform us of your decision ... before 29 February" - so on the day, is that how you understood?
A. Yes, thank you. I do recal 1 this, now that you point it out, yes. So obviously there has been a delay in the ministry sending me the letter, because, you're right, it's dated 28/2 but it says, "Please inform us of your decision before the 29th".
Q. Would this sort of proposal that's in here be something - given the $\$ 60$ miliion deficit, something you'd have to discuss at board level?
A. Yes, absolutely, yes.

MR MUSTON: Q. In relation to that issue, was your inability, in a perfectly understandable practical sense, to respond by 29 February something that was communicated to --

THE COMMISSIONER: Before; "before the 29th".

MR MUSTON: Q. -- to Ms Pearce prior to the 29th?
A. Yes, yes, of course, it was, and we had a discussion with the ministry about some additional time, obviously, to consider the conditions outlined in the letter and provide a formal response.
Q. Can I just take you down to the last bullet point, which refers to some of the conditions which are said to attach to the $\$ 30 \mathrm{milli}$ ion one-off payment. I invite you to read that last bullet point to yourself. What did you understand that to mean?
A. So my interpretation of this point is that the $\$ 30$ million subsidy will be essentially recouped from this coming year's SLA, and that we need to make additional efficiency improvements in order to generate that additional $\$ 30$ million savings going into next financial year.
Q. Just working through the arithmetic of that, you start with a $\$ 60$ million hole in the budget, of which $\$ 24$ million can, over a period of time, be found internally?
A. Yes.
Q. That leaves the $\$ 36$ million figure that we've talked about as an ongoing hole in the budget. The proposal, as you understood it, at least, was, "We'11 give you $\$ 30$ million" - that is half of the hole that you currently have in the budget --
A. Yes.
Q. -- "on condition that it be repaid next year, notionally, through us giving you $\$ 30$ million than we otherwise would next year"?
A. Yes.
Q. Your arithmetic is no doubt better than mine, but for those of us who became lawyers because we weren't good at maths, where did that actually leave you in a net position come the '24/25 year?
A. Well, without knowing the parameters of the forthcoming service level agreement --
Q. As projected, at least?
A. -- if we assume, for example, the parameters of that agreement will be very similar to this current year's agreement, that would obviously leave a significant, circa $\$ 100$ million, deficit going into next year.
Q. Is that something that was canvassed with anyone at the ministry following receipt of the 28 February 2024 email?
A. We've certainly had discussions with the ministry around our ability to add that $\$ 30$ million requirement of efficiency savings to our already, as you say - the level of efficiency savings we need to make just to kind of stand still, if you will, yes.
Q. Can we move forward to the document which is at page 262 of your statement, which for the operator is [SVH.9999.0002.0262]. That's a letter of 25 March 2024 from Mr McClintock AO to Ms Pearce AM.
A. Yes.
Q. First question: between 28 February, when Ms Pearce's letter was sent, and 25 March 2024, when this letter was sent, putting to one side any discussion you might have had about your ability to respond within the contemplated time frame, were there discussions between St Vincent's and the ministry around the acceptability of the proposal being put forward in Ms Pearce's email, from St Vincent's perspective?
A. Not that I recall.
Q. So the next step in the process, as best as you can recall, was on 25 March, Mr McClintock wrote to Ms Pearce indicating that whilst the board has executed the 2023/24 service level agreement in recognition of an enduring partnership - just pausing there, are we to infer from that that it was commercially disadvantageous to St Vincent's to execute it, but in the spirit of the ongoing relationship of 167 years between St Vincent's and the State of New South Wales, it was decided to do it?
A. That's correct, yes.
Q. But as at 25 March 2024 , it could not be expected that St Vincent's would be able to execute the $2024 / 2025$ service level agreement under the current funding parameters?
A. Correct.
Q. In terms of the current funding parameters, did you understand - first question: did you see the letter at the time it was sent?
A. Yes.
Q. Where it refers to the "current funding parameters",
did you understand that to be a reference to the $\$ 36$ million hole, the $\$ 60$ million hole, or the \$100-ish mil1ion hole?
A. My interpretation of that is that on an assumption that the parameters of the forthcoming SLA will be 1 argely the same as the parameters of this year's SLA, that then it would be - obviously as the chair points out in the letter - very challenging for the board to endorse us to execute next year's SLA.
Q. Can we move to a document $G .073$, which is [MOH.9999.1580.0001].

That should be on the screen for you, hopefully? A. Yes, thank you, yes.
Q. That's a letter to Paul McClintock from Ms Pearce dated 3 May 2024. Insofar as you are aware, is that the next communication that occurred between St Vincent's and the ministry in respect of this funding, the brewing funding discussion, as between - after Mr McClintock's 1etter?
A. Yes.
Q. Was there any discussion or meetings had where the issue was discussed between 25 March and 3 May that you're aware of or were involved in?
A. Not that I can recal1, over and above the month1y you know, sorry, the quarterly performance meeting we have regularly with the ministry where these matters are certain1y regularly raised.
Q. I'm just looking at the content of that letter. I see in the second paragraph there's a reference to a meeting on
19 March 2024, where executives from the ministry and St Vincent's Hospital met to start discussions on the 2024/25 service agreement?
A. Yes. So we started our FY25 service level agreement discussions, and that's part of the normal course of business that the ministry and LHDs, and in our context networks, and AHOs go through to negotiate and ultimately agree the service level agreement process. So that's just the standard process.
Q. Just looking at that, does that refresh your memory that there may have been a meeting to start that process which happened some six days before Mr McClintock wrote his

25 March 2024 letter?
A. Yes, although the meeting on 19 March was part of the standard SLA process that we go through. So the meeting on 19 March, if I recall that meeting correctly, was probably the first of our SLA meetings, and we have several with the ministry as we go into the negotiation process.

The first is called the "purchasing roadshow", and it's generally where the ministry explain the context, the financial context for the coming year, any major changes to either performance parameters or the funding environment that the network needs to be aware of going into next financial year. It's very much a sort of information sharing discussion. It certainly wasn't a discussion where we got to the point of talking about the matters outlined in this letter.
Q. It is said at the end of that paragraph commencing "I am advised" that:
... a key outcome of this was an agreement to discuss a sustainable level and mix of services for [St Vincent's Hospital network].

Just building on the answer you gave a moment ago, was that a reference to some agreement reached in respect of the $\$ 60$ million hole or was it more a general discussion around what the service mix might look like?
A. It was more a general discussion about what the service mix might look like, and in the context of St Vincent's, we've certainly, you know, highlighted through this letter and others, and indeed in that discussion, the challenges with the parameters of the service level agreement from a financial perspective, and the ministry have certainly acknowledged that as part of that meeting, yes.
Q. Tracking down two paragraphs, there is a reference to Mr Portelli, as a person who you might need to contact to discuss these matters?
A. Yes.
Q. Is Mr Portelli someone who has had any involvement in discussions around the bigger issue, the $\$ 60 \mathrm{million}$ issue? A. So Mr Portelli is pivotal in those SLA discussions, leads those discussions, and certainly would have led the
discussion, if I recall correctly, on the 19th. So insofar as Mr Portelli leads the service level agreement discussions, negotiations, then yes. I think the specifics of the exact volume of services purchased and the revenue that then flows from that, that's a discussion that's pretty much live at the moment. So that happens a bit later in the process.
Q. If we can jump forward to the document at G.086, which is [MOH.9999.1651.0001], that's a letter from you to Mr D'Amato?
A. Yes.
Q. Dated 13 May 2024?
A. Yes.
Q. Do you recognise that letter as one that you wrote?
A. Yes.
Q. Was there any discussion - before we come to the content of that letter, do you recall any particular discussion with Mr D'Amato or anyone else from the ministry which might have occurred between the date of the last letter we were looking at and 13 May 2024 ?
A. I don't recall any specific discussion, but again, these matters are regularly raised and discussed as part of our quarterly performance meetings with the ministry.
Q. Who attends the St Vincent's network quarterly performance meetings?
A. Sorry, I didn't --
Q. Who usually attends the performance meetings?
A. Myself, my chief operating officer, our director of finance, our executive director quality performance and improvement, and more often than not our executive director Aboriginal health.
Q. And from the ministry side?
A. The deputy secretary system performance, certainly representatives from mental health, finance, either
Mr D'Amato or his deputy will attend, Sharon Smith will often attend from system purchasing, and there may well be others that attend depending on the topics that will be covered in the meeting.
Q. Deputy secretary system performance is Mr Daly?
A. Sorry, Mr Matthew Daly, yes.
Q. Mr Daly, I think, was copied in to this letter of 13 May 2024?
A. Yes.
Q. This letter, reading through it, talks about the achievements of St Vincent's but it doesn't appear to grapple directly with the $\$ 30$ million one-off repayable supplement as a solution to the $\$ 60 \mathrm{million}$ problem? A. No.
Q. Have there, since 13 May, been any other discussions around that issue?
A. So indirectly, this letter does, in part, deal about that. So one of the drivers of our current financial forecast position is our activity performance, and we are ahead of target year to date, so we're doing more activity than has been purchased, but we are still within that boundary point that I talked about earlier, in terms of being in the sort of performing range, if you will.

So after a discussion with ministry, I believe it was at one of our performance meetings where that performance trajectory was well recognised, I wrote to Mr D'Amato seeking support, financial support, to recognise that performance in activity for the year. Part of that, of course, would support our overal 1 forecast budget deficit position for the year.
Q. Other than reporting that to him, has there been anything - has anything come back from the ministry in relation to that issue from your --
A. Not formally at this stage, no.
Q. Has it come back informally?
A. No.
Q. So as matters stand, you've received no response or no indication from the ministry as to how the $\$ 30 \mathrm{million} / \$ 60 \mathrm{million} /$ possibly $\$ 100 \mathrm{million}$ hole in the budget is going to be filled from a --
A. No.
Q. If at all, from a funding perspective?
A. Not as today, no.
Q. Does that introduce any particular challenges to the way in which St Vincent's plans and goes about the delivery of healthcare services through its facility at Darlinghurst and Sacred Heart?
A. Of course. And, you know, if we assume that the parameters of next year's SLA will be largely the same as this year's, we will certainly need to deeply consider the way we provide our services - the models of care in which we provide our services; what our workforce structure and model is to provide those services; do we have the right level and mix of services; do we have the right workforce structure? We'11 have to go through all of those processes. The extent to which those processes will elicit answers to the size of that projected deficit is not clear to me at this stage.
Q. Has anyone from the ministry suggested to you, putting to one side the $\$ 24$ million worth of efficiencies that might be won, that it is possible to deliver the healthcare services that are currently being - currently required under the service level agreements for the amount of money that is currently provided by way of funding?
A. Not explicitly. We have talked about, as part of our financial recovery plan which we discuss regularly with the ministry, a scenario in which we review the level and mix of services that we provide to better align with the purchase volume - so, you know, do we need to change the services that we provide to align with the amount of revenue that we receive? As yet, we haven't had to activate that scenario, but it's a scenario that has been discussed with the ministry.
Q. Does that come back to a topic that we were exploring a little bit earlier today around possibly changing the mix of services being delivered through St Vincent's so you do more of the easy stuff and leave more of the hard stuff for someone else's budget to deal with?
A. I mean, theoretically, that would be part of that discernment process but, as I said earlier, you know, there are some services that we provide which we consider to be core and crucial to our mission and history and so it would be a very, very careful discernment process around those particular services.
Q. If one starts from the proposition that those services, in a properly functioning society, need to be delivered to those people who might be more expensive to
deliver health care to, whether you're delivering them or someone else is delivering them under their budget, the cost will be largely the same?
A. Correct. Correct. And in fact, you know, given that we have been delivering services to those particular groups in society for a long period of time, I would argue that we've built up an efficient - a level of efficiency and effectiveness in delivering those services that, if those services were to be provided by another provider without that level of expertise, that there would be - there would be, most likely, a less efficient model.
Q. Which would manifest itself in one of two ways - it would either cost more?
A. Yes.
Q. Or the service would not be delivered as well?
A. Or the service - correct.
Q. Could I come back to paragraph 79 of your statement, which is on page 14. Do you see you allude there in the last sentence to changes that may be necessary to the ongoing sustainability of St Vincent's Sydney so as to enable it to continue making its important contribution to public health in New South Wales. The challenges, I gather, are those that we've canvassed in some detail -A. Yes.
Q. -- over the last hour?
A. Yes.
Q. What are the changes that you think might be necessary and appropriate to meet some of those challenges?
A. I think there are several opportunities, some of which specifically relate to St Vincent's Sydney, but some are sort of broader system opportunities. Insofar as they relate specifically to St Vincent's Sydney, one of the opportunities I think which would significantly enhance the sustainability and longevity viability of the network would be a longer-term partnership agreement with the state, through NSW Health.

At the moment, we have, 1 ike all the other LHDs do, a rolling annual agreement, the service level agreement, and I think, you know, my observation is that that prevents a level of long-term planning, partnership and investment that would better position both St Vincent's Sydney and the
system for both parties to be making investments in the system and in that partnership for the betterment of the community.

The second aspect, I think, the opportunity, would be around sort of system reform, and I think there are probably two key aspects to that. The first is the extent to which we really genuinely incentivise what I would describe broadly as substitution and diversion models. So, you know, we know that hospitals, particularly hospitals, tertiary hospitals like St Vincent's, are generally high-cost places to deliver services, and that's appropriate for some groups of patients that we've talked about, but for a large group of the community, there are other more cost-effective places of care, if you will, that can also deliver better outcomes and experiences.

One example would be to heavily incentivise virtual and home health care and really invest in pathways from and connectivity and connection between acute tertiary hospitals like St Vincent's and virtual - and other virtual and home health care environments, so that we can better flex capacity, if you will, and treat patients in the most appropriate place.
Q. In what way is that not currently being incentivised, looking at those different ways of treating patients? A. It's incentivised to some extent, but there are activity based funding, by its nature, is designed to incentivise activity, right, throughput, as opposed to a bundle of care, if you will. So I think there's an opportunity through some different funding models - block funding, bundled care, however you kind of want to term it - that you could provide to a health service and LHD, a network, for more flexible use, which would then enable that health service to either deliver care where it's appropriate to do so in a hospital setting, but actually also potentially deliver care in other settings, which, as I say, is more cost effective. So that's - I don't think I think whilst we've - you know, we've certainly explored those sorts of models, I think there's much more opportunity to do that.
Q. So insofar as the St Vincent's arrangement with the state is concerned, if there was an agreement reached in relation to, say, block funding for a particular model of care like that, there's nothing about an agreement to
a block funding arrangement which would prevent St Vincent's from, nevertheless, capturing, as best as it could be captured, all of the activity that was properly recognised as part of the delivery of that service, so that the state could secure from the Commonwealth its contribution of that activity?
A. Absolutely. Yes. So they're not mutually exclusive. You could absolutely have a combined funding model. I was involved in a pilot in Victoria several years ago which was expressly designed to incentivise diversion and prevention and substitution models for health care, and it was a pilot program, so there was a shadow funding arrangement that was put in place at that time, obviously to, you know, prove the concept, so to speak, and I think those sorts of opportunities would be a great augmentation to the system here.
Q. And at the risk of delving into the measurement of process rather than outcomes, there's no reason why KPIs couldn't be set for an organisation like St Vincent's, for example, that said, "You've received block funding for this particular type of care that you're providing, a KPI in respect of which is an obligation that you capture, as part of the delivery of that service, a particular amount of activity which, between you and the ministry, worked out, seems to be a fair and achievable target" --
A. $\quad \mathrm{Mmm}$.
Q. -- such that the ministry can then secure from the Commonwealth, or the treasury can secure from the Commonwealth, its contribution towards the funding of that model of care?
A. Yes, that's right. And I mean, those KPIs would extend to both, as you say, process and outcome. You could certainly measure outcomes as part of that arrangement as well.

THE COMMISSIONER: Q. Just pausing there, you said in response to a question from Mr Muston, "I was involved in a pilot in Victoria several years ago", et cetera - remember that? One, what was the pilot; and, two, was it evaluated and did it go anywhere?
A. It was called "Better at Home". It was an initiative of the Victorian Department of Health at the time. I left, unfortunately, Victoria before the evaluation process of that initiative, but certainly the experience - to the extent that I was involved in that pilot, and my experience
when I was working at St Vincent's Melbourne at the time, was that it was looking very promising.

MR MUSTON: Q. Can I come to the issue of capital funding briefly?
A. Yes.
Q. Can we turn to paragraph 45 of your statement, on page 8, which, just to orient you, comes very shortly after a heading, "Limitations of the AHO mode1"?
A. Thank you.
Q. Actually, before we come to capital, just insofar as the limitations of the AHO model are concerned, do any limitations arise as a result of the fact that employees at St Vincent's are not - that is to say, the staff at St Vincent's Hospital Sydney are not employed by the secretary but, rather, are employed by St Vincent's?
A. Not really, in practice. Our awards mirror the state awards and we have provisions in place for transferability of staff across the system. So to my knowledge and understanding, there is no real impediment to those arrangements.
Q. So such challenges as might, at least at a theoretical level, exist there have been sensibly resolved?
A. Yes.
Q. In a collaborative way by the state and St Vincent's?
A. Yes.
Q. Coming then to capital, looking at paragraph 45, could I just invite you to expand on what you mean when you tell us that St Vincent's Sydney does not have good visibility of the capital funding that may be available to St Vincent's as a networked AHO, and what further transparency you think would be useful?
A. Yes, and I preface my comments with the fact that my experience in the system is obviously still limited to being around about 12 months, so what I've expressed in my statement and what I'll express now is based on my limited understanding. But my understanding is that there are several avenues to access capital funding from NSW Health, from the state. They range from, you know, programs designed for minor, very minor sort of equipment replenishment, facilities maintenance, et cetera, through to more significant capital redevelopments and upgrades
through health infrastructure and the capital investment proposal framework.

Certainly St Vincent's has been involved in, as far as I'm aware, all of those different programs and initiatives, and we submit every year - last year was the first year, admittedly - a capital - sorry, an asset management plan to the ministry, which is as part of the standard capital process for the system, and from that, there's a determination made, is how I've interpreted it, in terms of the capital allocation that needs to be provided through to the system across those various different programs.

We've also historically, even before my time, from what I can tell from the documentation, submitted capital investment proposals to the ministry across a range of different initiatives.
Q. So in terms of the additional transparency, what could or in your view should be more transparent?
A. So whilst I think there is again, in my experience, relatively good visibility about the avenues for which, through which, you can seek capital funding, I think --
Q. Pausing there, you understand the forms you need to fill out and what needs to go into those forms in order to make an application for funding at different levels? A. Yes, yes. What's less visible to me is the amount, at a global level, of capital funding that is available and how that capital funding is apportioned, and on what basis, what's the framework for making those decisions.
Q. So as a part of the public health system which is operated not by the ministry but by a private organisation, do I gather from that answer that it would be - you think it would be useful to you, and perhaps important from your understanding of the process, to see how much money is being allocated for capital improvement across the system, where that money has been allocated --
A. Yes.
Q. -- so that you can make an assessment of whether you think, on a fair and equal distribution of what's always going to be a limited pool of funds, a decision not to allocate any of it to you is understandable and reasonable? A. That's right. And there is some visibility to the extent that some of the capital funding that is provided to
the system is encapsulated in service level agreements, so there's some visibility to the extent that those service level agreements are public documents. But it's my observation - and again, limited to the time that I've been in this role and in this system - that there is other capital funding provided into the system over which there is less visibility.
Q. So starting with the very big items, the redevelopments, we've heard some evidence which suggests that decisions around that are an inherently political process, which might not necessarily be amenable to the sort of transparency that is alluded to in paragraph 45, but - maybe it should be, I'm not expressing a view one way or the other in relation to that or asking you to at this stage --
A. Thank you.
Q. -- but in relation to other aspects of capital, for example, minor works expenditure of the type that you refer to in paragraphs 47 and 48 , what are some of the challenges presented to St Vincent's by what you perceive, at least possibly, without transparency and knowledge of how it's distributed, to be an inequitable distribution of that money across the system such that none of it's being received or not much of it has been received by St Vincent's?
A. And I think that's the crux of it. Certainly very little of it is received by St Vincent's. Now, that may be the - the reasons for that, there may be deficiencies in our submissions, for example, something that $I$ don't fully understand about the way the decision-making is undertaken, the full framework or assessment criteria under which against which those proposals are submitted.

There is some high-level information available, I must say, so it's not complete misunderstanding, but I think that what would help, certainly me in my role at St Vincent's Sydney, is if we had some better understanding of how we could improve the way we seek the funding, then that would help us, I hope, to receive more funding.
Q. So to the extent that decisions not to provide funding to St Vincent's for, for example, a piece of intensive care equipment we hypothesised about earlier that broke, if there's something about the way in which you're seeking it, and the information you are putting in the form which is
the reason for you not getting that funding, it would be useful for that to be shared with you?
A. Yes. Yes.
Q. And if it is not that, and, rather, it's just policy-based decision-making around how funds, capital funds, are distributed across the system as between the publicly owned component of it and that which is owned and operated by St Vincent's, whilst it might not change the way you go about filling out your forms, it would be good to know?
A. It would.
Q. Good to know not just out of prurient interest but because it would no doubt inform you about the way you approach budgeting and decision-making going forward about the services and the way in which you use the capital items which you have at your disposal?
A. Yes, that's right.
Q. Could I ask you to go to paragraph 52 of your statement where you tell us a little bit about having sought funding from NSW Health to enable St Vincent's Sydney to participate in the single digital patient record initiative?
A. Yes.
Q. I gather that a lot of, at least, the earlier applications for that funding were made prior to your arrival on the scene at St Vincent's?
A. Yes, yes.
Q. Have you, in your time as a CE of St Vincent's, been involved in any discussions around that with the ministry?
A. Yes, several. We worked very closely with the ministry over the last six months or so to develop both what we call an implementation planning study, so really an initial assessment of the potential for St Vincent's to join the single digital patient record, and more recently a business case, which obviously includes the funding element, again, for us to join the single digital patient record. So we've had several discussions and worked very closely with eHealth NSW on those.
Q. I think you've told us already the current system which is operated at St Vincent's is predominantly a paper-based system?

## A. Correct.

Q. Presumably with elements of electronic medical record that get produced by those of the intensive care machines that are not hypothetically broken, for example?
A. Yes, yes. We do have pockets across the hospital where we have a more digital - digitally enabled operation.
Q. In terms of St Vincent's proposal for introducing electronic medical record, start with the concept of the EMR itself, what are the benefits from the point of view of both the delivery of health care and also the quality of the health care received that could be gained through proper use of a quality electronic medical record system?
A. I mean, for St Vincent's, the benefits would be very literally transformative. We're one of only two health services around the state without an electronic medical record currently, and so for us, the benefits extend across patient care, so, for example, we would have better ability, to our discussion earlier, to monitor quality and safety of our care; better connectivity of care both within our organisation and also with the partners who are also able to be connected into that system, so from a seamless from a patient's perspective, from an experience and outcomes perspective, providing seamless care where the information, critical information, is transferred between providers to enable better care, basically, would be transformative.

It would also be transformative in the sense of our workforce. So as you might imagine, most, particularly junior staff that come to work at St Vincent's are used to operating in a digitally enabled environment with an EMR, and so from a value proposition and a workforce perspective, you know, not having that available to us is a significant disadvantage from a recruitment and retention perspective. So it would be a huge advantage for us from that perspective.

The other advantage would be around research connectivity. So as you know in my statement, we are part of St Vincent's Sydney health innovation precinct. We work very closely with our research partners in the precinct, and being able to capture data electronically to use and also create connectivity from a research and innovation perspective would be another benefit of an EMR.
Q. You're aware, obviousiy, from the discussions you allude to in paragraph 52, that the ministry has embarked upon a long-term transformative single digital patient record project?
A. Yes.
Q. The ambition, as we understand it, is to have a single system which is accessible at any public health facility across New South Wales, such that if a patient presents in hospital in, say, Bathurst, with a broken pinky, and has their initial treatment delivered there --
A. Yes.
Q. -- when they then re-present at, say, Royal Prince Alfred Hospital or Children's Hospital Westmead, wherever it might most convenient to them, someone can bash away at a computer and get all of the records that they need to enable that care to pick up where it was left off in Bathurst?
A. Exactly.
Q. Are you aware that the particular $p l a t f o r m$, that no doubt after many meetings and much consideration, eHealth and the ministry has decided to proceed with, is a platform described as the "Epic platform"?
A. Yes, yes.
Q. To the extent that St Vincent's Sydney has proposed joining the digital revolution, is it proposed that St Vincent's Sydney would use the same platform as has been chosen by the ministry or a different one?
A. The same platform.
Q. So the current proposal, at least, is that

St Vincent's Sydney would wish to have the Epic platform rolled out within its public hospital in exactly the same way as it is rolled out in every other - or hoped to be rolled out by 2029, in every other public hospital across New South Wales?
A. Correct, correct. And that goes to the point around our integration with the system and the way we connect with our peers across the system and also to your point around seamless patient care and connectivity. I mean, the vast majority of our patients obviously, you know, to the extent that they need to seek health care in another facility, wil1 move around the New South Wales public health system, so it makes perfect sense for us to be digitally integrated
with the New South Wales health system.
Q. Some of the earlier applications for capital funding surrounding the development of an electronic medical record, as I understood them at least, proposed that St Vincent's would utilise a different platform, likely MEDITECH?
A. Yes.
Q. It's before your time, but is it your understanding that, at least at an earlier point, there was consideration being given by St Vincent's to using a different platform to that which was being used or intended to be used by eHealth and the ministry for the rolling out of its single digital patient record?
A. Yes, and as you say, it was before my time, so I certainly wasn't involved in those discussions. What my understanding, you know, extends to what's in the documentation as well, but it's certainly the current view of St Vincent's Sydney and also St Vincent's Health Australia and the board, that it is our strong preference to join the single digital patient record and the Epic platform.
Q. Review of the documents reveals that earlier attempts to secure capital funding for the development of an electronic medical record possibly based on a different platform were, in any event, unsuccessful?
A. Yes.
Q. As matters currently stand, what is the status of discussions that you've had with ministry or eHealth around St Vincent's being rolled in to the rollout of the single digital patient record platform built on the Epic system?
A. So we've had several discussions, as I articulated. The ministry are very supportive of us joining the single digital patient record and to that end we've been certainly involved in some of the preliminary planning activities even though we're not formally part of the program at this stage. So there's very strong in-principle support for us to join. We haven't resolved the funding strategy and we are in active discussions at the moment with NSW Health about receiving a funding allocation to join the SDPR.
Q. At a conceptual level is there any reason that you can see why at least the public side of St Vincent's Hospital should not be funded to receive a single digital patient
record in exactly the same way as any other public hospital delivering care to public patients within New South Wales is?
A. Yes, well, as you said, I mean, to the extent that we deliver public health services for the benefit of the New South Wales community, which we certainly do, I think it's fair and reasonable that there's a funding allocation made to support us to integrate into that program and continue to deliver that level of service in a contemporary fashion to the community.
Q. To the extent that that funding was not forthcoming, does St Vincent's (a) have the financial capacity to build its own version of the Epic platform to plug in to the single digital patient record?
A. No.
Q. Building on that, does St Vincent's have at its ready disposal the technological depth and capacity to build a mirror of the Epic single digital patient record system such that if you did have the money to do it, you could seamlessly plug it into whatever the ministry might be building on the other side of that wall between St Vincent's and the rest of the public health system?
A. The technical elements of that question are somewhat beyond my level of expertise.
Q. I won't press it with you.
A. But from an operational perspective, I guess theoretically, without understanding the technical requirements, that may be possible. I still think that it would be in our interests, and indeed in the state's interests, for us to join the broader statewide rollout, simply because they are amassing a critical mass of expertise, resourcing and focus around the rollout and I think it would make sense from an efficiency and an effectiveness perspective for us to be part of that program rather than us to do it ourselves, to the extent that that's even technically possible.
Q. From the perspective of the patient, say, who might have come and had a heart and lung transplant in St Vincent's Hospital and then after a period of recovery returned to their home in, say, Wagga, if they presented with chest pains at Wagga hospital, the absence of a proper integration of St Vincent's within the single digital patient record would, at a practical sense, mean that the
clinicians dealing with that patient's care at Wagga would not have ready and immediate access to all of that no doubt important health information around the procedure and its sequelae which had been delivered through St Vincent's hospital. Am I right or is that overly simplistic?
A. No, that's correct. I think that would indeed be a limitation.

MR MUSTON: I have no further questions for this witness.
THE COMMISSIONER: Thank you. Do you have any questions, and if you do have some, do you have many, Mr Chiu?

MR CHIU: I have some questions but no more than a few minutes.

THE COMMISSIONER: No more than a few minutes? It is probably convenient to the witness, then, that we keep going.

## <EXAMINATION BY MR CHIU:

MR CHIU: Q. Ms McFadgen, I represent NSW Health in this Inquiry. I want to take you back to your earlier evidence about the pilot in Victoria that you talked about. Was that a pilot that operated within St Vincent's Melbourne hospital only?
A. No, it was a pilot that was operated across a number of different health services and coordinated centrally by the Victorian Department of Health. So St Vincent's Melbourne was one of the pilot sites but not the only pilot site.
Q. Earlier you said that it was designed - that there was a funding model designed to incentivise preventative care. Could you explain how that worked?
A. So what happened was the pilot sites were given a block of funding, but as I said, there was a shadow model, given that it was a pilot arrangement, and then there were several care pathways that were piloted around what I broadly call substitution and diversion models - so, for example, the Virtual ED, which you may have heard about, was one of the pilot models of care that came out of that "Better at Home" program, and that was initiated by Northern Health, which is a health service within Victoria, but St Vincent's Melbourne was one of the collaborators on that particular initiative.

So the health services were provided a block of funding on a fixed-term basis to pilot a model such as the Virtual ED, prove the concept, make sure that it was obviously safe, effective, cost-effective, et cetera - and in fact the Virtual ED is a model that is still going and is growing at the moment in Victoria, but there were other models also that were looked at as part of that pilot program.

THE COMMISSIONER: Q. Sorry to interrupt, what year was this pilot program, to the best you can do? We can find out, but --
A. Okay, this is really testing my memory. Twenty - it was just pre-COVID, actually. So 2018/19, at a guess. Please don't hold me to that.

MR CHIU: Q. So besides block funding the initiation of a model of care, did the pilot then look at the ongoing funding of that model of care once it had been instituted? A. Yes, and that was part of the - so there was a formal evaluation as part of the pilot that was obviously initiated at the beginning in terms of setting the parameters of what that evaluation might look like, and a sustainable funding model was absolutely one of those.

It's fair to say the assumption that was made - and as I said, I left before the evaluation process was concluded, but it's fair to say the assumption that was made was that the diversion and substitution models would be more cost-effective than hospital, especially tertiary hospital based care, which is probably some of the most expensive health care that you can provide, and so that there would be a funding redistribution ultimately, if those models were proven to be effective, safe, et cetera, between away from tertiary hospital funding to those other models, so that there would be no net increase in funding requirements for the system.
Q. And to your knowledge - I appreciate you are not involved directly in the evaluation - did the evaluation test the shadow model versus the actual funding model and reach any conclusions on that matter?
A. I must admit I don't - I don't recall, I'm sorry.
Q. Okay. Is there anyone within St Vincent's Health Australia who might be the lead or the representative on
this pilot who might know the specific answers?
A. If the pilot is still under way - and as I said before, now I recall, I think it possibly was interrupted by the COVID pandemic response in Victoria - so to the extent to which the pilot is still under way or has been concluded or extended, yes, I would certainly be able to provide some details as to who might be aware of what's happened, yes.

MR CHIU: Thank you very much. No further questions.
THE COMMISSIONER: Just before I ask Mr Jones, Mr Chiu, just to help you, the letter at page 259 of the witness's statement - do you have that? It is the letter from the secretary of 28 February 2024.

MR CHIU: I do, with the deadline.
THE COMMISSIONER: Just to help you, I'm going to need a more detailed response about the context of that letter, and let me just give you my thinking.

MR CHIU: Yes.
THE COMMISSIONER: One of the things we have to do in this Inquiry is look at, inquire into, how NSW Health funds health services to, amongst other things, achieve optimal health outcomes. St Vincent's, we know, is an AHO which has its own obligations under the Act to provide - whatever this means - adequate services for the services it provides.

It's saying to the ministry, "We're in a $\$ 60$ miliion black hole. We'11 put our hands up, we can make some efficiency savings, but we're still left with this deficit". And without making a finding today, obviously, about 60 million, 24 million, 36 million or whatever, having said that in detail to the ministry, the ministry must appreciate there is either a real risk, or a risk of some kind that is real, that they are underfunded, or there is a certainty that they are. Prima facie, it doesn't seem to me how a not-for-profit health organisation running a public hospital can be adequately delivering services and fulfilling its own obligations under the Act if it's not funded to do that, and on its face, the letter of 28 February 2024, without saying anything inflammatory on its own, is, I think currently, sitting here, an inadequate
response to all of those issues $I$ just raised.
Now, there may be a much bigger story here and more context that your client can provide, but I think it's going to need to.

MR CHIU: Yes.
THE COMMISSIONER: And the sooner the better. And by saying "sooner the better", I don't mean tomorrow and I'm not fixing a date, but the sooner the better, because this isn't the first time we've had an organisation saying "We're doing the best we can but we don't have enough funding", and others have said, "We have to rely on philanthropy to do it". But I'm going to need a fuller story - and there may well be one, and I'm assuming there actually is - but I'm going to have to hear it at an evidentiary level.

And I will just finish with this: please don't think that I am approaching this, or anyone on the Inquiry is approaching this, in relation to the funding the ministry provides, on the basis that the secretary, the ministry, the LHDs grow their own money. They get their money from treasury, and no doubt, at a matter of certainty, someone from treasury will, at an appropriate time in this Inquiry, be required to come along and explain what they do in terms of funding to ensure that statutory obligations are met.

But does that help you?
MR CHIU: It does, Commissioner. Indeed, part of the explanation might be that process of how the request is then passed on to treasury.

There were a number of --
THE COMMISSIONER: And I'm conscious there are other meetings we haven't had detail on, but we will need to hear that from your client at an evidentiary level, not forgetting that we know where the money ultimately comes from.

MR CHIU: Commissioner, there were a number of ministry witnesses who originally were on for this block. They weren't required.

THE COMMISSIONER: Sure.
MR CHIU: But what I will do is I will obtain supplementary statements from them to make sure that covers these issues.

THE COMMISSIONER: That can all be worked out with Mr Muston and the team.

MR CHIU: Of course and they will be done as quickly as possible.

THE COMMISSIONER: Thank you.
Is there anything you wanted to ask, Mr Jones?
MR JONES: No, Commissioner, thank you.
THE COMMISSIONER: Thank you very much for your time. We're very grateful. You are excused for now.

THE WITNESS: Thank you.
THE COMMISSIONER: All right. I didn't mean that to frighten you. It might be that you are permanently excused.
<THE WITNESS WITHDREW
THE COMMISSIONER: That's it for today?
MR MUSTON: Yes.
THE COMMISSIONER: So 10 o'clock tomorrow?
MR MUSTON: Yes.
THE COMMISSIONER: A11 right. We'11 adjourn until 10 o'clock tomorrow.

AT 1.09PM THE SPECIAL COMMISSION OF INQUIRY WAS ADJOURNED TO FRIDAY, 14 JUNE 2024 AT 10AM

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